IN THE SUPREME COURT OF OHIO

STATE OF OHIO EX REL. KATHRYN HUWIG 14250 Sweetbriar Lane Novelty, Ohio 44072

Relator,

VS.

OHIO DEPARTMENT OF HEALTH 246 N. High St. Columbus, OH 43215,

BRUCE VANDERHOFF, M.D. In His Official Capacity as Director, OHIO DEPARTMENT OF HEALTH 246 N. High St. Columbus, Ohio 43215,

and

JUDITH NAGY
In Her Official Capacity as State Registrar,
Office of Vital Statistics,
OHIO DEPARTMENT OF HEALTH
246 N. High St.
Columbus, Ohio 43215,

Respondents.

CASE NO.:

ORIGINAL ACTION FOR WRIT OF MANDAMUS

COMPLAINT FOR WRIT OF MANDAMUS

Thomas W. Connors (0007226) *
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Email: tconnors@warnermendenhall.com Attorneys for Relator Kathryn Huwig For her Complaint for Writ of Mandamus against Respondents Ohio Department of Health, Bruce Vanderhoff, M.D., the Director of the Ohio Department of Health (the "Director"), and Judith Nagy, the State Registrar, Office of Vital Statistics, Ohio Department of Health (the "State Registrar") (collectively the "Department"), Relator Kathryn Huwig ("Relator") states as follows.

PARTIES

- 1. Relator is a citizen of the State of Ohio and has lived in Novelty, Ohio from 2016 to present.
- 2. From October 2020 to June 2021 Relator led a Facebook group known as "Understanding Ohio Covid-19 Data" with over 10,000 members, including dozens of Ohio lawmakers and officials. From July 2021 to present she has led Facebook groups known as "Science History Book Club," "Understanding Ohio Covid-19 Data 2.0," and "Understanding Ohio Covid-19 Data 3.0."
- 3. Relator has also had a podcast on Facebook known as "Beyond the Data," which has over 4,000 followers, from July 2021 to present.
- 4. The Department maintains a death event database entitled the Security Mortality Module (the "Death Database") and a Covid-19 vaccination database (the "Vaccination Database") (collectively the "Databases") as described below.
- 5. R.C. 3705.03 provides that the State Registrar, is the custodian of vital records. R.C. 3705.01 defines vital records as including reports of "death...and other data related thereto..." The Office of Vital Statistics has the responsibility of administering the system of vital statistics and preserving its official records. R.C. 3705.03(A).

6. The Director has the special duty of investigating contagious or infectious disease and taking prompt action to control and suppress it. R.C. 3701.14. In furtherance of this duty "he shall collect and preserve information...as may be useful in the discharge of the director's duties and for dissemination among the people." R.C. 3701.14(A). (Emphasis added.)

FACTS

A. The Death Database.

- 7. On February 23, 2021, Relator testified before the Ohio House of Representative's State and Local Government Reform Committee on H.B. 90, a parallel bill to S.B. 22, which as subsequently enacted curtailed the Ohio governor's emergency powers. She was critical of the Department's data, which the Ohio governor relied on in issuing emergency Covid-19 orders.
- 8. The same House committee also held a hearing on S.B. 22 on March 9, 2021, at which Relator testified. However, on March 2, 2021, the Department changed the data on its website, which had been utilized in Relator's February 23 testimony. The change precluded her from making further analyses like those in her February 23 testimony.
- 9. On February 7, 2023, Relator requested data dictionaries for the Death and Vaccination Databases. On March 15, 2023, the Department provided the requested data dictionaries. Copies of the data dictionaries are attached as Exhibits A and B.
- 10. On May 12, 2023, Relator requested reports from the Department based on selected fields from the data dictionaries. Exhibit C, pp. 15-19 (A copy of Relator's entire email correspondence with the Department regarding the requests which are the subject of the complaint are attached as Exhibit C). The request for the reports initially covered several years but was later limited to the year 2021. *Id.*, p. 3.

- 11. On May 16, 2023, the Department declined the request asserting that it would be required to create a new record and would require the release of personal health information ("PHI"). *Id.*, pp. 11-15.
- 12. On May 17, 2023, Relator added a request for the entire Databases described by the data dictionaries, to resolve the Department's objection that the requested reports would involve creating new records. *Id.*, p. 11. Relator also requested that the Department explain how it accessed the requested records so that she could cure any issues with her request for the reports from the Databases. *Id.*, p. 10. She also asked the Department to redact what it thought was PHI, subject to her right to contest such redactions. *Id.*, p. 11.
- 13. On May 17, 2023, the Department declined to provide the Databases asserting that the request was overbroad. *Id.*, p. 8. The Department also objected to providing information regarding accessing the Databases because it involved critical infrastructure. *Id.*, p. 4.
- 14. On May 19, 2023, Relator limited her requests for the reports and databases to the year 2021 and renewed her request for information regarding how the requested records were maintained and accessed so she could consider revising her request as appropriate. *Id.*, p. 3. On May 19, 2023, the Department declined the request for the year 2021 as also overbroad. *Id.*, p. 1.
- 15. On June 6, 2023, Relator's attorney explained that she needed information regarding how the Department's existing software accessed the requested output, to assess what database reports would be considered existing records under Ohio law. Exhibit D, p. 2. On June 6, 2023, the Department acknowledged that it may have previously used its software to provide reports but had no obligation to continue to do so. *Id.*, p. 1. The Department failed to provide any specific information regarding how its software accessed the requested records. *Id.*, p. 1.

- 16. A report based on specific fields extracted from the Death Database was previously requested from the Department in *Miller v. Ohio Department of Health, Vital Statistics*, Ct. of Cl. Case No 2020-00618PQ, 2021 WL 2629512 (Report and Recommendation) and Decision and Entry dated May 4, 2020, *rev. on other grounds by Miller v. Ohio Department of Health*, 2022-Ohio-357 (10th Dist.), attached as Exhibit E.
- 17. The *Miller* court requested information from the Department regarding how its software accessed the requested records (Exhibit F) and the Department provided the requested information. Exhibits G and G-1.
- 18. The Department admitted that its death data is contained in the Death Database, which it also refers to as the Secure Mortality Module. Exhibit G-1, ¶2. It further admitted that the data dictionary for the Death Database, which was also provided to Relator in this case, was a report prepared by the Department using its software. *Id.*, ¶4. The Department acknowledged that other death data reports provided to Miller were created by using software known as Statistical Analysis Software ("SAS"). *Id.*, ¶8.
- 19. A report based on specific fields extracted from the Death Database was also previously requested from the Department in *Ludlow v. Ohio Dept. of Health*, Ct. of Claims Case No. 2021-00040PQ, 2021 WL 8824878 and 2021 WL 8824879, *rev. on other grounds in Ludlow v. Dept. of Health*, 2022-Ohio-3399 (10th Dist.), *cert. granted Ludlow v. Ohio Department of Health*, S. Ct. Case No. 2022-1391.
- 20. The Department provided Exhibits G and G-1 as evidence in *Ludlow*. Exhibit H, Excerpt from the Department's Response to Requester's Complaint and Motion to Dismiss, which included Exhibit G, the Affidavit of Karen Sorrell and Exhibit G-1. The Department acknowledged that its death data was "stored in a database entitled the Secure Mortality Module

[the Death Database]" and that it had provided the data dictionary for the Death Database, which was also provided in this case as Exhibit A. Exhibit H, p. 2.

- 21. The Department admitted in its *Ludlow* briefing that its existing computer programming has the capacity to provide reports based on fields selected from the data dictionary for the Death Database. Exhibit H, p.3 ("The Secure Mortality Module [the Death Database] was created to allow specific governmental agencies broad access to death data so that the agencies can perform their functions...[S]pecific fields of information can be extracted from the Security Mortality Module and downloaded into another format.") The Department specifically explained that "[t]hose with access to the Secure Portal [to the Death Database] can download in...CSV file and print...using 3rd party software." Exhibit G-1, ¶2.
- 22. The Department provided the Death Database reports requested by Ludlow, a Columbus Dispatch reporter, but redacted names and addresses. (These redactions are the subject of *Ludlow v. Ohio Department of Health*, S. Ct. Case No 2022-1391, which is presently pending before this Court.)

B. The Vaccination Database.

- 23. The Director has the general duty of administering the Ohio Department of Health as its chief executive officer. R.C. 3701.03. The Director may make special or standing orders or rules for preventing the spread of contagious or infectious disease. R.C. 3701.13(C).
- 24. On February 2, 2021, the Director issued an order in response to the Ohio governor's March 2020 declaration of a state of emergency, requiring documentation of Covid-19 vaccinations in Ohio's immunization system, Impact SIIS. Exhibit I, Director's Journal Entry, In re Covid-19 Volunteer Vaccine Providers, p. 11.

25. The affidavit of Kathryn Huwig in support of this complaint for writ of mandamus has been filed contemporaneously with this Complaint and is incorporated by reference in this Complaint as if fully restated herein.

CLAIMS FOR RELIEF

- 26. Paragraphs 1 through 27 set forth above, are incorporated by reference herein.
- 27. R.C. 149.43(C)(1) provides that a person aggrieved by the failure of a public office to comply with an obligation in accordance with R.C. 149.43(B) may file a mandamus action as an original action in this Court.
- 28. Relator is aggrieved by the Department's failure to comply with R.C. 149.43(B) by providing requested records and information regarding maintaining and accessing records as described above.
- 29. The Department has a clear legal duty to comply with R.C. 149.43(B) by making the requested records available for public inspection and by providing the requested information regarding maintaining and accessing such records.

WHEREFORE, Relator respectfully requests:

- A. that this Court issue a peremptory writ of mandamus directing the Department to allow public access to the requested records;
 - B. that in the alternative, this Court issue an alternative writ of mandamus;
- C. that this Court award Relator the costs of this action, reasonable attorneys' fees, and statutory damages, pursuant to R.C. 149.43(C); and
 - D. all other relief that is just and equitable.

Respectfully submitted,

/s/ Thomas W. Connors

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Attorneys for Relator Kathryn Huwig

PRAECIPE FOR SERVICE

TO THE CLERK:

Please issue a Summons along with a copy of this Complaint for Writ of Mandamus to the Respondents identified in the caption on page one via certified mail service, return receipt requested.

/s/ Thomas W. Connors
Thomas W. Connors (0007226)

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State appreximation	676	DISPOIALE		3 5	Imposition of the literal
the state of the s	\$50	NTORMEDALE		3 2	noments relationship
ton from the first the same and the same that the same tha	250	MOTHEROSOFFIX	0705	3 4	Notice South
selfe stree staken in value kate see in the in-term	510	FATHERSUFFIX	3010	3 2	auler south
distribution that the country of contrast and a state of the country of the count	015	SPOUSESUFFIX	3000	Ę	pouse's ourix
	\$50	SPOUSEMIDNAME	2950	Z	spouse's Middle Name
Literal value as reported	\$28	DBPLACECITY	2922	P	Decedent's Birth Place City - Literal
Literal information reported in Part II	\$240	OTHERCONDITION		只	ause of Death Part II
Duration reported on line d	\$20	INTERVAL1D	2662	Ē	ause of death Part I Line d interval
Literal Information reported on Line d	\$120	CODID	2542	œ	ause of death Part i Line d
Duration reported on line c	\$20	INTERVAL1C	2522	Ŧ	ause of death Part I Line c interval
Literal information reported on Line c	\$120	CODIC		8	Cause of death Part I line c
Duration reported on Line b	\$20	NTERVAL18	2382	4	Cause of death Part I Line b interval
Uteral information reported on line b	\$120	COD1B	2262	A	Cause of death Part I Line b
Duration reported on line a	\$20	INTERVALIA		8	ause of death Part I Line a interval
Literal information reported on Line a	\$120	CODIA		<u>ج</u>	Cause of death Part I Line a
0=original record; 1=updated record; 2=updated, do not send to NCHS	21	REPLACE	1	8 !	Replacement record code
Reported rifty name for injury deaths	805	CITYTEXT	1	T 0	City of injury - literal
PAHTOSCORET	.¢30	TRANSPRT	2063	77	transnortation accident specify role
UR=Univer/Uperator	-				
Literal description; Blank for natural death	\$250	HOWIN	1813	DY	Description of how injury occurred
Uteral description; Blank for natural death	\$50	POILITRL		DX	Place of injury
Y, N, U	\$1	REFERRED		DW	Was case referred to medical examiner/coroner?
MR) (deniale) resource seculation rever	\$50	DMOMMDN		PV	Mother's malden surname
The same of the sa	\$50	DMOMMID	1662	DO	Mother's middle name
	\$50	DMOMF	1612	9	Mother's first name
	\$50	DDADMID	1562	S	Father's middle name
	\$50	DDADF	1512	DR.	Father's first name
REDACT for non-Ohio deaths	\$50	DMIDDLE		ğ	Decedent's middle name
10 = Other Asian, 11 = Native Hawaiian, 12 = Guamanian, 13 = Samoan, 14 = Other Pacific Islander, 15 = Other, 21 = Bridged White, 22 = Bridged Black, 23 = Bridged American Indian/Alaskan Native,	\$	NCHSBRIDGE	1460		race values in data years 2022 and beyond may display as unknown. ODH is aware of this issue and is working to resolve it. Data prior to 2022 is unaffected.
05 = Chihese . 06 = Filipino . 07 = Japanese . 08 = Korean . 09 = Vietnamese .					race values as collected by O
Of a White O2 = Risck O3 = American Indian O4 = Asian Indian	FORMAT	Citio Marie	Postton	COMMINIO	Race values returned from NCHS. Note: Due to
		Ciodal Blasma		VSD.	Variable
Updated: 01/09/2023					Layout for Onio Mortality Download File

					Updated: 01/09/2023
Variable	ASD.	.DIT	Field Norma		Condensation described
Hispanic Code for Literal		3760	DETHNICSC	ES .	https://www.rdc.gnv/nchs/data/dvs/HispanicCodeTitlee.pdf
CD code 358 Selected cause list	8	3763	ICDCODE358	5	See cause groupings file. To comply with WHO tabulation regulations
CD code 113 Selected cause list	Ŧ	3768	ICDCODE113	(ñ	See cause groupings file. For analysis of general mortality and leading COD.
CD code 130 Selected cause list		3773	ICDCODE130	Ğ	See cause groupings file. For analysis of infant mortality and leading COD.
Concorded residence of Accordant MATCH CODE		3778	ICDCODE39	. Gi	See cause groupings file. For tabulations in smaller geo areas.
Genorated residence of decedent - MATCH CODE		3783	GEOCODED_MATCHCODE	\$	GEOCODED_ variables are geocoded results of resident address of decedent
Secretary residence of decedent - STATE		3/88	GEOCODED COME STATE	3 8	Quality indicator.
Septoded residence of decedent - COUNTY CODE	2 3	3795	GEOCODED CHOOLINTYCODE	s K	County Fine Code
Seocoded residence of decedent - COUNTY NAME		3798	GEOCODED OHCOUNTYNAME	\$10	county rips code
eocoded residence of decedent - SCHOOL DISTRICT CODE		3808	GEOCODED_SCHOOLDISTRICTCODE	\$6	See School District code document
eocoded residence of decedent - MUNICIPALITY CODE		3814	GEOCODED_MUNICIPALCODE	\$\$	City FIPS code
eocoded residence of decedent - MUNICIPALITY NAME	-	3819	GEOCODED_MUNICIPALNAME	\$30	City
edcoded residence of decedent - TOWNSHIP CODE	-	3849	GEOCODED_OHTOWNSHIPCODE	S	Township code
reocoded residence of decedent - IOWNSHIP NAME		3854	GEOCODED OHTOWNSHIPNAME	\$20	Annotation and Annotation of the Annotation of the
encoded residence of decedent	3 6	3877	GEOCODED OHHOUSEDZ	្ល	
eocoded residence of decedent		3880	GEOCODED OHSENATEO2	\$3	one distribution (mission) and one design to the selection of the selectio
eocoded residence of decedent		3883	GEOCODED_OHSENATE12	33	dispersed transfer transfer to the second
residence of		3886	GEOCODED_OHCONGRESS02	X	
accorded residence of decement		3888	GEOCODED_OHCONGRESSIA	K	CARNA II I A 11 MARIN INDIA II I
eocoded residence of decedent	1	3896	GEOCODED CENSUSTRACT2010	\$6	Transformation and the state of
eocoded residence of decedent	GK.	3902	GEOCODED_CENSUSBLOCK2000	2	
eocoded residence of decedent		3906	GEOCODED_CENSUSBLOCK2010	¥	
eocoded residence of decedent		3910	GEOCODED_LONGITUDE	\$11	
eocoded residence of decedent	8	3932	GEOCODED ADDRESSOUT	S E	Standardized street address
eocoded residence of decedent		3972	GEOCODED CITYOUT	\$30	Standardized city
eocoded residence of decedent		4002	GEOCODED_STATEOUT	ß	Standardized state
eocoded residence of decedent		4004	GEOCODED ZIPOUT	\$10	Standardized zip
Occurrence district	a 8	4014	REGISTRAR PRON	3 2	rocal nearth histrict code
ity of birth		4023	BIRTH CITY	\$50	Literal entry for decedent's birth city
erdfier unique ID in EDRS	ĺ	4073	CERT_ID	\$8	
ertifier license number	GW	4081	CERT_LICENSE	\$15	Search of the Transport
uneral home unique ID in EDRS	,	4096	FH_ID	\$10	Total Company of the
uneral home license number		4106	FH_EST_NUM	\$20	
andemic reason activated by ODH as needed	AA A	4126	PANDEMIC REASON	350	Could include fit shots sto
andemic cause of death activated by ODH as needed	i	4186	IMMED CAUSE PANDEMIC	\$1	WHIM III MARKET IN CONTROL CON
andemic cause of death activated by ODH as needed		4187	CONSQ1_PANDEMIC	\$1	Here is the control of the control o
Pandemic cause of death activated by ODH as needed		4188	CONSQ2_PANDEMIC	.\$1	
andemic cause of death activated by ODH as needed		4189	CONSO3_PANDEMIC	1\$	months and the state of the sta
andemic cause of death activated by ODH as needed	1	4190	OTH SIGNE CONDS PANDEMIC	\$1	American (management) and compared to the compared of the comp
Armed forces beginning date of service	E	4191	ARMED BRANCH SERVICE	\$35	
Armed forces ending date of service		4236	ARMEDE END STRING	SIO	MM/JDD/YVVV
Armed forces discharge type		4246	ARMED DISCHARGE TYPE	550	Honorable, Dishonorable, General, Other
Ohio resident indicator	픚	4296	OHIO RESIDENT INDICATOR	S1	A restatement of STATEC, 9 when Ohlo resident (Use STATEC)
Ohlo county historical code		4297	OHIO_COUNTY	\$2	Old Ohio county code 1-88 for Adams to Wyandot (Use COUNTYC)
ge in years		4299	AGE_IN_YEARS	w	Calculated from AGE and AGETYPE
Postneonatal indicator	₹	4302	POSTNEONATAL_INDICATOR	\$1	N = neonatal 1-27 days, P = postneonatal 28-364, U = not infant death
Unio Public Hearth Information Warehouse Indicator	Н	4303	OPHIW_INDICATOR		Detailed race derived from NCHS bridge and checkboxes in Race1:Race14
					1=White, 2=Black, 3=American Indian, 4=Chinese , 5=Japanese
Hispanic	H	4304	Hispanic	\$1	Y, N, U derived from DethnicE and checkboxes Dethnic1-Dethnic5

Layout for Ohio Mortality Download File					tindated: ng ing 2003
	VED.	3	-		THE OWNER OF THE PROPERTY OF T
Ohio Public Health Information Warehouse rategory	Column	Position	OBHIM CATEGORY	Format	Code structure description
		1303	CHIENCE	F	1=White, 2=Black, 3=American Indian, 4=Asian/Pacific Islander, 9=Unknown
Leading cause 130 list	5 5	4306	LEADINGCAUSE130	دم د	See ICD Cause Groupings 113 document
Geocoded location of death	¥ 8	4310	GEOCODED_LOD_MATCHCODE	* 83	Geocoded LOD are the returned Geocode values for the place of death
Geocoded location of death	H	4315	GEOCODED LOD QUALITYCODE	8	THE PROPERTY OF STATE ST
Geocoded location of death	₹	4320	GEOCODED_LOD_CENSUSSTATE	\$2	
Geocoded location of death	WH	4322	GEOCODED_LOD_OHCOUNTYCODE	కు	AND REPORT OF THE PROPERTY AND A CONTROL OF THE PROPERTY AND AND ADDRESS OF THE PROPERTY ADDRESS OF THE PROPERTY AND ADDRESS OF THE PROPERTY ADDRESS O
Geocoded location of death	*	4325	GEOCODED_LOD_OHCOUNTYNAME	\$10	AND AND THE
Geocoded location of death	H7	4341	GEOCODED LOD MUNICIPALCODE	8 2	There is a grant of the control of t
Geocoded location of death	A	4346	GEOCODED_LOD_MUNICIPALNAME	\$30	A selection content of the selection of
	B	4376	GEOCODED_LOD_OHTOWNSHIPCODE	\$	
Geocoded location of death		4381	GEOCODED LOD OHTOWNSHIPNAME	\$20	Wadden jam dijedaansaadamaad mutuu ma ri man menadama
Geocoded location of death	F 5	4401	GEOCODED_LOD_OHHOUSE02	3 23	Blank
Geocoded location of death	-	4407	GEOCODED LOD OHSENATEON	វ ម	Bank
Geocoded location of death	-	4410	GEOCODED LOD OHSENATE12	S	
Geocoded location of death	Ŧ	4413	GEOCODED LOD OHCONGRESS02	\$2	Blank
Geocoded location of death Geocoded location of death	= =	4415	GEOCODED_LOD_OHCONGRESS12	22 22	A DEPROORUE OF THE PROPERTY OF
Geocoded location of death	,	4423	GEOCODED_LOD_CENSUSTRACTZ010	\$6	
Geographic of death	F	4429	GEOCODED TOD CENSUSBLOCK2000	2 2	n or provided the second of th
	Z	4437	GEOCODED_LOD_LONGITUDE	\$11	managementary of the community of the co
Geocoded location of death	ō	4448	GEOCODED_LOD_LATITUDE	\$11	
Geocoded location of death	5 6	4459	GEOCODED_LOD_ADDRESSOUT	\$60	to provide the contract of the
Geocoded location of death	3 5	4549	GEOCODED LOD STATEOUT	\$2	united in material and additional and the second of the se
	ភ	4551	GEOCODED_LOD_ZIPOUT	\$10	To the second of
Geocoded location of death		4561	GEOCODED_LOD_LOCALHEALTHDIST	S	Was join a speciment in the second of the se
Geocoded location of injury	₹ 2	4566	GEOCODED TO MASCHOODE	î V	Concoded 101 are returned Concode values for along of lating futher applicable and brown
Geocoded location of injury		4576	<u> 5 5</u>	\$	Secondary are extrined according source or high I fauter abbillionic and unbarry
	×	4578	GEOCODED_LOI_OHCOUNTYCODE	\$3	meanment from the terms of the terms and thorse
Geocoded location of injury	N N	4581	GEOCODED LOI OHCOUNTYNAME	\$10	View of the process o
Genorated location of injury	7	4591	GEOCODED LOI SCHOOLDISTRICTCODE	Se .	wante.
Geocoded location of injury	5	4602	GEOCODED LOI MUNICIPALNAME	\$30	manikakanman propinsia dakatu/a mm (11 paniku maku mmu
	٦	4632	GEOCODED_LOI_OHTOWNSHIPCODE	S	ty p a 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Geocoded location of injury	i 5	4637	GEOCODED_LOI_OHTOWNSHIPNAME	\$20	
Geocoded location of injury	7	4660	GEOCODED TOL OHHOUSEDS	3	
Geocoded location of injury	৸	4663	ნ	83	was us con a constant of the c
Geocoded location of injury	Ξ	4666	GEOCODED_LOI_OHSENATE12	ន	
Geocoded location of injury	= =	4669	GEOCODED TOLOHONGRESSOZ	S X	With the Management of the Control o
Geocoded location of injury	¥	4673	GEOCODED LOI CENSUSTRACT2000	\$ 1	AMERICAN AND MICH. MATERIAL TO AND MICH. THE ART IS A SECTION AND ADMINISTRATION OF THE ART IS A SECTION OF
Geocoded location of injury	=	4679	GEOCODED_LOI_CENSUSTRACT2010	\$6	To Joseph To Apple Andrea - 1940 Merick And House
Geocoded location of injury	Z	4685	GEOCODED_LOI_CENSUSBLOCK2000	\$4	William providence of
Geocoded location of injury	Z	4689	GEOCODED LOI CENSUSBLOCK2010	2	
Geocoded location of injury	F 6	4704	GEOCODED LOI LATITUDE	\$11	
Geocoded location of injury	d	4715	GEOCODED LOI ADDRESSOUT	995	
Geocaded location of injury	JR.	4775	GEOCODED_LOL_CITYOUT	\$30	
Geocoded location of injury	St	4805	GEOCODED_LOI_STATEOUT	\$2	
GEOCOGE INCREMENTAL OF HISTORY	2	4807	REDCODED TO SPOUL	OIC	

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lumn	osition		Format	Code structure description
	817	GEOCODED LOI LOCALHEALTHDIST	- 23	
	23 822	AFFIDAVIT PRESENT	\$1	Yor blank
JX 4	838	ALIAS 1 NAME	\$100	Liberal of L=Years, Z=Woorths, 3=Weeks, 4=Days, 5=Hours, 6=Minutes
JY 4		ALIAS 1 PRESENT	\$1	Y, N, or blank
JZ 4	-	ALIAS 2_NAME	\$100	
		ALIAS 2 PRESENT	\$1	Y, N, or blank
		ALIAS 3 NAME	\$100	
	1	ALIAD 3 TACOCINI	71	T, N, Of Digitik
		ALI PHY SIG	450	If the plank If the plank of the plane of t
		BIRTH IN US	\$1	Y, N, U
-		BIRTH MATCHED	\$1	Y, N, or blank
İ	WATER OF	BIRTH_OOS_SFN_OOS	\$13	irth record infant death links to YYYYSSFFFFFF
<u>Σ</u>			2	Incomplete data, primarily for infant deaths
		BRL PMT APRV LOC	\$10	marking other imperior by imperior fall draub o
		BURIAL PERMIT DIST NUM	2	
		BURIAL PERMIT OK DATE	\$10	the trade and the second control of the second seco
		BURIAL PERMIT REASON NOT	\$70	
		BURIAL PERMIT REGIST	\$70	(v v vender ordinante habita v
		CASE_FILE_NUMBER	\$11	Internal EDRS number
1	1	CASE STARTED BY	\$50	THE CHARGE
Andrew of the original of		CEM COUNTRY	\$20	
		CEM_COUNTY	\$40	
KC 5	547	CEM_NAME	\$50	
Carlo management	597	CEM_VET_GRAVE	\$30	constantination to the second and th
		CEM_VET_LOT_NUM	\$30	The second contract of the second contract of
		CEM ZIP	8 2	
		CERT_NAME_FML	\$150	ed page .
	846	CERT_TITLE	\$50	
1		CERT_TYPE_CODE	\$1	1 = Paper, 2 = Electronic
residual das .	-	COR COMPL DATE	\$10	MM/DD/YYYY
	907	COR COMPL USER	2 20	Yor Hank
	938	COR PHY PAPER	\$ 17	Eorp
و ع		COR PHY SIG	\$1	Y pur blank
E		CORONER_CASE_NUM	\$15	Enter in Coroner's format
S	955	CREATE_USERNAME	\$25	
	980	CREMATION PERMIT APPR	\$1	The same same to the same same same same same same same sam
- <u>-</u>	981	CREMATION_PERMIT_DATE	\$10	MM/DD/VVVV
	92	DATE PRO SIG	\$10	MM/DD/YYYY
1	011	DATE PRONOUNCED	\$10	MM/DD/YYYY
	021	DEATH_CITY_FIPS	\$\$	5 digit FIPS code for city of death, literal in field CITYTEXT D
	026	DEATH_STATE_CODE	ĸ	OH for deaths in Ohio, blank otherwise (death occurred outside Ohio)
D 01	028	DEATH_STATE_FIPS	\$2	OH for deaths in Ohio, blank otherwise (death occurred outside Ohio)
IR 6	030	DISPOSITION	\$30	Burial, Cremation, Removal from state, Donation, Entombment
5	060	DISPOSITION_DATE	\$10	
7	070	DOB_STRING	\$10	MM/DD/YYYY
	080	DOCUMENTID	\$50	internal processing variable
`	150		020	MATTER (NOV)
	160		\$25	Y. N or blank
	185	DR COMPL DATE	\$10	MM/DD/YYYY
		WWW .7X7 Wmn Position 4817 4823 4823 4823 4823 4823 4823 4823 4823	W .7XT Wmm Position Field Name 4817 GEOCODED 4827 AFFIDAVIT P 4823 AGE_TYPE 48	WTXT

Literal range as entered by certifier MIM/DD/YYYY 2 letter postal fips cod No data of use here No data of use	EDRS processing variable Unique EDRS record number Unique death record number Z Accident Suidde Homicide Pending investigation	# 00 m	The state of the s		The state of the s	
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entered by certifier ps cod ps cod here g variable cord number cord number tord hydron's	EDRS processing value of the transfer of the t	\$10	MANNER DEATHIN	7577	Z	Manner of death ID (this does not line up with Manner,
entered by certifier ps cod ps cod here g variable g variable cond number	EDRS processing va	\$15	LOCAL REG NUMBER	7562	NY	Local registrar number
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entered by certifier ps cod here		\$10	INJURY TIME INDIC	7521	NS	injury time AM/PM
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entered by certifier	manufacture of the special section of the section o	\$10	INJURY LOCAT APT NO	7479	ON	Injury location apartment
entered by certifier		\$100	INJURY_LOCAT .	7379	Z.	Injury location
entered by certifier	MM/DD/YYYY	\$10	INJURY DATE STRING	7369	MM	Injury date
	Literal range as ent	\$25	INJURY DATE KNOWN	7344	N.	Injury date range
		X	INJURY COUNTRY FIPS	7342	ZX	Injury country FIPS
		\$50	INJURY_COUNTRY	7292	Z	Injury country literal
A December of the second of th	NAMES OF THE PARTY AND PARTY OF THE PARTY OF	\$5	INJURY CITY FIPS	7287	2	Injury city FIPS
		6\$	INFORMANT_ZIP	7278	NH	Informant zip
		\$50	INFORMANT_STATE	7228	NG	Informant state
A marketine producerum methodologica.		\$10	INFORMANT_NAME_SUF	7218	ZF.	Informant name
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s rasidaty is designativated.	THE CALL THE THE TAXABLE STATES AND THE TAXAB	\$50	INFORMANT_NAME_L	7118	ND	Informant name
	•	\$50	INFORMANT_NAME_F	7068	NC	Informant name
SEASON OF THE PERSON OF T	A CONTRACTOR OF THE CONTRACTOR	\$40	INFORMANT_COUNTRY	7028	NB	Informant country
3		\$50	INFORMANT CITY	6978	NA	Informant city
AL B		018	INFORMANT APT NO	8968	ZN	Informant apt no
		\$50	INFORMANT ADDRESS	6918	×.	Informant address
n dan saka Saka aman sa sa mada maka maka maka maka maka maka mak		\$1	FUNERAL HOME FLAG	6917	×	Funeral home flag
TO LAKE MANUFER CALIFORN	The state of the s	510	FUNERAL HOME DATE	6907	W.	Funeral home completed date
manage i a primi proprimento e	~	510	FUNER SIGN DATE	6897	X	Funeral signed date
	î k	15	FINED CIGN	2000	2 3	Fineral sign details
		\$30	FINER I DENCE	6876	ZIV.	Fineral licence number
and as services . At	T, N, A OF DIBLIK	CEO.	HINDINGS USED	5280	MR	Europeal Contact Marria to complete cause of death?
		\$50	TH DIRECT NAME	6//5	MQ	runeral nome director name
Television Americans description	manic scale construent and a second and a second and a second second and a second a	\$1	FH_COMPLETE	6774	MP	Funeral home complete status
mont vanos univado venda da	was secure comments are characteristic and comments for the formal	\$30	FH_COMPL_USER	6744	MO	Funeral home completed by user
		\$10	FH_COMPL_DATE	6734	M	Funeral home completed date
ode in EDRS app	Unique facility code in EDRS app	\$10	FAC_INST_CODE	6724	M	Location of death facility code
sted	Sparsely completed	\$15	FAC NPI	6709	<u>Z</u>	Location of death - national provider index
Visionappi ast. Wa. in	manuscripts previous and the super-control of the	65	FAC ZIP	6700	M.	Location of death – zlp
At a company the property of the company of the com	1	500	EAC ADDRESS	25.00	IM	location of death - address
And a second of the second of		05¢	FAC COLINTY	6000	LIMI	location of death - County
die unseinen dermitenstelle .		\$200	FAC NAME	6350	MG	Location of death — Taclity name
y variable	EDRS processing variable	\$10	FACID	6340	MF.	Location of death - ID in EDRS
ion .	State abbreviation	\$50	FAC_STATE	6290	ME	Location of death - state abbreviation
Absolution de de de de de de de de de de de de de	State code	42	FAC_CNTY_NCHS	6286	MD	Location of death county code
		\$10	FAC_APT_NO	6276	MC	Location of death apartment number
	Y, N, U, or blank	15	ETHNIC YESNO	6275	MB.	Ethnicity
DEDUC	Literal value for DEDUC	\$50	EDUCATION	6225	Z.	Education level of decedent
rescription	Chae an actain neactibilion	\$30	DR COMPL USER	6195	IZ	User at physician office who entered in EDRS
A constitution	Code characters dec	Engan	Eight Name	Bostrion	Columns Value	Variable
Updated: 01/09/2023				3	2	cayout of office workship bownings rife
						layout for Ohio Mortality Download Eile

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NCHC version of the control	2	VER SM	8504	3	VER SM
The state of the s	2		8500	8	ICD code
	2	RAC ICDCODE19	8496	8	ICD code
designed a manufacture process process and manufacture and and an and an analysis of the second seco	2	RAC_ICDCODE18	8492	Ş	ICD code
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	2	RAC_ICDCODE16	8484	РҮ	ICU CODE
Warrier (restaumanterio) (American (e. p.) http://www.pide.	¥	RAC_ICDCODE15	8480	PX	RCJ COOR
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PROFESSOR AND AND AND AND AND AND AND AND AND AND	\$4	RAC_ICDCODE13	84/2	7	CO COURT
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· Party Production and American	24	BAC COCODEO	2040	8 7	(C) code
offer distribution bross	2 4	BAC ICOCODES	0 0	3	ICO code
many common or a common of the	2	RAC ICDCODE7	8448	PP	ICD code
The state of the s	2		8444	РО	ICD code
	2	RAC ICDCODES	8440	P	ICD code
Trigon 1.1	2	RAC_ICDCODE4	8436	PM	ICD code
	\$4	RAC ICDCODE3	8432	ЪГ	ILU COCE
	\$	RAC_ICDCODE2	8428	R	ICU code
ICD 10 codes for the decedent are listed here	\$4	RAC_ICDCODE1	8424	2	ICU CODE
Date the handwritten cause was entered by a state VS clerk	OICS	VS CLERK SIGNED DATE	8414	- 3	Data signed by your like the same of the s
Y IT THE HANDWINTEN CAUSE WAS DATA ENTERED BY A STATE VS CIEFK	51	V5_CLERK_SIGNED	64L5	FA	Delical by volicity
	OTS	USERLUCATION	8403	Ğ	Const by W. Flori
	OTC	INDUC CIT	0333	3 3	The books and the second of th
op. (4)	610	TRADE 710	2000	P F	Trade call funeral home zin
grave a natural cartain. State to the dender of the	400	TRADE VA	2207	39	Trade call funeral home used
er (A) to de ser per dependent of the service and serv	250	TRADE STATE	8242	eg c	Trade call funeral home state
	S	TRADE CITY	8797	3	Trade call funeral home city
mages, pro-transfer produces or sympathy to the compact on	\$200	TRADE CALL	8092	ρВ	Trade call funeral home used
The state of the s	\$50	TRADE ADDRESS	8042	PA	Trade call funeral home address
Can be a range in time (e.g., Early AM) when exact time not known	\$25	TIME OF DEATH RANGE	8017	2	Time of death range
Blank, AM or PM	Ş	TIME OF DEATH AP IND	8015	ÝΟ,	Time of death indicator
Not in military time format	¥	TIME_OF_DEATH_AP	8011	Q	Time of death
EDRS processing variable, Y or blank	15	SUPPLEMENT PRESENT	8010	WO	Supplementary medical info present?
And Manusches and Institute to the Assessment of	\$4	REGISTRAR_UMB_PRDN	8006	Ş	Local registrar umbrella PRDN code
tary behavioration of the relation of the total state	\$50	REGISTRAR_NAME	7956	2	Local registrar name
	\$	REGISTRAR_DIST_NO	7952	잌	Local registrar district number
Y	\$1	REG_SIG	7951	S	Local registrar filed?
Local registrar processing variable	\$75	REG_REASON	7876	S	Local registrar reason rejected
Complete or Pending	\$30	RECORD_STATUS_PERS	7846	00	Status of personal info
Complete or Paper	\$30	RECORD_STATUS_MED	7816	P	Status of medical info
Filed or Registered	\$30	RECORD_STATUS	7786	8	Record status
Z	\$1	RACE_UNKNOWN	7785	ON	Race unknown?
Z	\$1	RACE_REFUSE	7784	MO	Race refused?
N	\$1	RACE_NOT_OBTAINABLE	7783	2	Race not obtainable?
Y, N	\$1	PLACE_DEATH_IN_CITY	7782	ç	Place of death inside city?
Uteral entry similar to the code DPLACE	\$40	PLACE_DEATH	7742	9	Place of death description
очения выпадава и по на постират не порожения при на предоставления выпадавания выпа	\$50	PHY_LOCATION	7692	0	EDRS description of physician location
EDRS processing variable	\$\$	PHY_LOC_CODE	7684	오	EDRS unique code for physician location
	\$10	PERMIT_PRINT_DATE	7674	8	Disposition permit printed date
O I I he has soon i	\$15	PERMIT_NUMBER	7659	OF.	Disposition permit number
Y, N	\$1	PEND_INVESTIGAT	7658	30	Pending investigation?
	13	NCHS_FLAG	7657	9	NCHS flag
and the second of the second o	\$10	NCHS_DATE	7647	00	Date sent to NCHS
minanga narampahangan lamba dapahahahaha pepulah nabu u	\$10	MODIFY_USERID	7637	80	ID of user who last modified the record
i	\$50	MODIFY_USER_NAME	7587	Q A	Name of user who last modified the record
8 Natural					d. Mindred. Advantage of the control
Code structure description	Format	Field Name	_	Column	Variable
International in			ž	2	**************************************
Indated: 01/09/2023					Layout for Ohio Mortality Download File

Layout for Ohio Mortality Download File					Undated: 01/09/2023
	VS)	האד			The state of the s
VER 200	Coldina	POSIZION	Held Name	Format	Code structure description
VER ACTR	2 6	8513	VER ACTE	2 2	NCHS version number of Micar 100/200
njury state	8 1	8516	INJURY STATE	540	State. US Territory or Canadian Province of Injury literal
ge per NCHS	오	8556	AgeNCHS	Gi -	Ten year age groups values 1-11, 0, 1-4, 5-14, 15-24, 75-84, 85+
ge NCI	9	8561	AgeNCI	U1	Five year age groups values 1-19, 0, 1-4, 5-9, 10-14, 80-84, 85+
age STD	g	8566	AgeSTD	55	Age group values 1-11, 0-9, 10-14, 15-19, 40-44, 45-54, 55-64, 65+
Cancer death	ě	8571	CancerDeath	2	Cancer grouping derived from ACME_UC ICD codes, see cancer group listing
raumatic Brain Injury Indicator	٩	8573	TBlind	\$3	Derived from ACME_UCICD code, Yes or No
Nolent death case finding?	Q	8576	ViolentDeathCasefinding	\$30	Derived from ICD code, for use by Ohio Violent Death Reporting System, values like "Suicide"
External injury indicated?	Q	8606	Externalinjuryind	\$3	Derived from ACME_UC ICD code by CDC Injury matrix program, yes or no
xternal Injury Mechanism	8	8609	ExternallnjuryMechanism	\$50	Derived from ACME_UC ICD code by CDC injury matrix program, Natural or other value
Avernal injury recenanism group	දු ද	8659	ExternallnjuryMechanismGroup	\$50	Derived from ACME_UC ICD code by CDC injury matrix program, Natural or other value
ishere indicator	2 6	0/03	Dishatella	200	
Chronic disease Indicator	8 8	8762	ChronicDiseaseInd	S S	No or Yes If indicated by specific ICD code
geBirth	Q.	8765	AgeBirth	и	
lcohol induced indicator	9	8770	Alcoholinducedind	\$3	
rug induced indicator	5 6	8773	Druginducedind	S S	
eroin indicator	S S	8779	Heroinford	೧ ೮	No or Yes if ICD code T40.1
3enzodiazepines indicated	Q	8782	Benzosind	\$3	
ther UnSpecified Drugs indicated	8	8785	OthUnSpDrugsInd	ដ	
nier opiolos indicated	SA	88/8	CanOpiolasina	33	
Other Synthetic Narcotic indicated	R C	8794	OthSynNarcind	8 2	No or Yes if ICD rade 140.4
ocaine indicated	RD	8797	Cocaineind	:	
Other narcotic indicated	R	8800	OtherNarcsInd	\$3	
Hallucinogen indicated	R	8803	Hallucind	\$3	No or Yes If ICD code T40.7, T40.8, T40.9
Phanol indicated	R G	8806	Barbsind	3 33	
Aethanol indicated	2	8812	Methanolind	£2	No or yes if iCD code T51.1
Alcohol unspecified	2	8815	AlcUnspecInd	\$3	
Opiates indicated	RX	8818	OpiatesInd	\$3	
rescription opiates indicated	RL	8821	PresOpiatesInd	\$3	No or Yes if ICD code T40.2 - T40.4, T40.6
entanyi indicated	RM	8824	Fentanylind	\$3	
entanyi and Analogues Indicated	5 2	8827	FentanylAnaloguesInd	3 83	No or Yes if 'fentanyi' or analogues including 'carfentanii' in literal COD.
sychostimulants with abuse potential indicator	₹ 8	8833	Methamphetamineind	S	No or Yes if ICD code T436
Pesigner Opioids indicated	దై	8836	DesignerOpioidsInd	\$3	
Commonly Prescribed Opioids indicated	R	8839	CommonlyPrescribedOpioidsInd	\$	No or Yes if ICD code T402 or T403
AGE EHARS	2 2	9947	AGRECURS	л	Age group values 1-3: 0-4, 3-3, 10-14, 13-13, 20-23, 30-33, 40-43, 30-33, 50+
		601	Joseph J. Brand J. Br		inge group values into vita, santa, santa, asian, soran, soron, sor
Age Youth	RU	8852	AgeYouth	v	Age group values 0-6: 0=neonates, 1=postneonates, 2=1-4, 3=5-9, 4=10-14, 5= 15-17, 6=18+
MMWR Epidemiologic Year	RV	8857	MINWRYear	4	CDC constructed year for epidemiologic use, close but not the calendar year
MMWR Epidemiologic Week	R₩	8861	MMWRWeak	2	CDC constructed week for enideminipair use, values range from 1.53 usually 57 ner year
The second secon	72	8863	OCCUPC4	\$4	Occupation codes from NIOSH, 2010 version
Occupation Code	RY	7000		2	The state of the s

DataOhio Data Description: ImpactSIIS COVID-19 Vaccination Data

Patient- and Vaccination event-level level data included in DataOhio portal datasets

Core Data Elements	Description
Patient / Vaccine Recipient Information	
RECIP_ID	Unique ImpactSIIS patient ID for vaccine recipient
RECIP_FIRST_NAME	Patient first name
RECIP_MIDDLE_NAME	Patient middle name
RECIP_LAST_NAME	Patient last name
RECIP_DOB	Patient date of birth (Format: YYYY-MM-DD)
RECIP_DOD	Patient deceased date (Format: YYYY-MM-DD)
RECIP_SEX	Patient sex (Format: M=male, F=female, O=other, U=unknown)
RECIP_RACE_1	Patient race 1 (most recently submitted race first)
RECIP_RACE_2	Patient race 2
RECIP_RACE_3	Patient race 3
RECIP_ETHNICITY	Patient ethnicity
RECIP_LANGUAGE	Patient primary language
RECIP_TPO_DESCR	Target population/occupation of recipient, for pandemic response
RECIP_ADDRESS_STREET	Patient primary address street (e.g., 123 Main St.)
RECIP_ADDRESS_STREET_2	Second line of patient primary address street (e.g., Apt B)
RECIP_ADDRESS_CITY	Patient primary address city
RECIP_ADDRESS_STATE	Patient primary address state
RECIP_ADDRESS_ZIP	Patient primary address postal/zip code
RECIP_ADDRESS_COUNTY	Patient primary address county Name
RECIP_ADDRESS_COUNTY_FIPS	Patient primary address county FIPS (e.g., 39xxx for Ohio counties)
RECIP_PHONE	Patient primary (most recent) phone number
RECIP_EMAIL	Patient primary email
Vaccination Information	A STATE OF THE PARTY OF THE PAR
VACC_EVENT_ID	Unique ID for vaccination event
VACC_DATE	Date vaccination was given to patient (Format: YYYY-MM-DD)
VACC_CVX	CVX code for vaccine

RELATOR'S EXHIBIT

VACC_MVX	Manufacturer code for vaccine
VACC_NAME	Name of vaccine
VACC_HISTORICAL	Dose reported as historical (Y=Historical, N=Administered)
VACC_DOSE_NUMBER	Covid-19 vaccine Dose number
VACC_FUNDING SOURCE	Vaccine funding source (e.g., Pandemic, VFC)
Provider Information	
PROVIDER_ORG_SITE_TYPE	Administering/Reporting Organization Type (e.g., LHD, Pharmacy)
PROVIDER_ORG_ID	Administering/Reporting Organization ID
PROVIDER_ORG_NAME	Administering/Reporting Organization Name
PROVIDER_FACILITY_NAME	Administering/Reporting Facility Name
PROVIDER_FACILITY_ZIP	Zip code of administering/reporting Facility
IIS_VINTAGE	

Each record (row) represents 1 vaccination event. If the person received >1 covid-19 dose, their demographic info is repeated on each row.

Thomas Connors

From:

Kathryn Huwig <khuwig@gmail.com> on behalf of Kathryn Huwig

Sent:

Friday, May 19, 2023 4:40 PM

To:

Tom Connors

Subject:

Fwd: Public Records Request

Begin forwarded message:

From: "Socrates.Tuch@odh.ohio.gov" <Socrates.Tuch@odh.ohio.gov>

Subject: RE: Public Records Request Date: May 19, 2023 at 4:19:47 PM EDT To: Kathryn Huwig <khuwig@gmail.com>

Ms. Huwig,

Broad, categorical requests, even when time limited, such as the one you made, are overbroad. The systems are person-based and information is recalled by person. If are requesting information for a specific person or persons, feel free to pursue the procedures outlined previously.

On behalf of the Department, I'm sorry the Department could not assist you further.

Sincerely,

Socrates H. Tuch

Socrates Tuch

Senior Counsel/Privacy Officer Ohio Department of Heath

Ohio
Department of Health

For information about COVID-19:

coronavirus.chio.gov 1-833-4-ASK-ODH

From: Kathryn Huwig <khuwig@gmail.com>

Sent: Friday, May 19, 2023 3:22 PM

To: Tuch, Socrates < Socrates. Tuch@odh.ohio.gov>

Subject: Re: Public Records Request

Mr. Tuch,

C

Thanks for the point you are making. I believe it brings to the fore a misunderstanding which is leading to an unnecessary dispute.

The first request I am making is for a report from a database, which you contend is the creation of a record. I realize we differ on that, and that you are not willing to provide it.

However, my second request is for the 2021 ODMF and the 2021 CVD, which already exist as compilations of information from public records, and which are therefore themselves public records subject to disclosure under R.C. 149.43. Since they are existing records, there is no viable argument that your office would have to create a new record.

Given this clarification, I would ask you to reassess my second request since limiting the request to a one-year period should resolve your concern that the request is overbroad. Further I am willing to consider further revisions if you provide information regarding how these records are maintained. As discussed, surely there is a way that you can provide file or folder descriptions or similar information, without disclosing critical computer system configurations.

Again, I remain committed to work with you to resolve any issues in a manner consistent with the Public Records Act.

Thank you, Kathryn Huwig

On May 19, 2023, at 12:34 PM, Socrates.Tuch@odh.ohio.gov wrote:

Ms. Huwig,

The Department understands your statement:

I would clarify again that I am requesting "a compilation of information gathered from public records [which] is a separate public record subject to disclosure under R.C. 149.43." Kish v. Akron, 109 Ohio St. 3d 162, 168-69 (2006).

However, a careful reading of the *Kish* case makes clear that a public office is not obligated to create such a compilation in the first instance. If the public office creates a compilation, then that compilation is a public record. As repeatedly stated, no such compilation exists and ODH declines to create it.

After reviewing the below email exchange, and for the reasons stated therein, it appears that the Ohio Department of Health cannot accommodate your data request.

If you have future matters or concerns, feel free to contact me.

Sincerely,

Socrates H. Tuch, MA, JD, CPM

Senior Counsel/Privacy Officer

Ohio Department of Heath

Socrates K. Tuck

246 North High Street Columbus, OH 43215 (614) 466-4882

<image001.jpg> For information about COVID-19:

1-833-4-ASK-ODH

From: Kathryn Huwig <khuwig@gmail.com>

Sent: Friday, May 19, 2023 12:13 PM

To: Tuch, Socrates <Socrates.Tuch@odh.ohio.gov>

Subject: Re: Public Records Request

Mr Tuch,

appreciate your patience in working through these issues and clarifying matters to avoid unnecessary disputes. It is very helpful that you acknowledged that you can redact what you consider to be exempt and provide the non-exempt information. I realize that that doesn't resolve our difference on whether a report from a database is creating a new record. However, it does mean that you can provide the Ohio Mortality Download File ("OMDF") and the ImpactSIIS COVID-19 Vaccination Dataset ("CVD") with redactions.

Unfortunately, we still have the issue as to whether a request for the OMDF and the CVD for certain years is improperly overbroad. To accommodate your concern on this point, I will revise my request for these records and request the OMDF and the CVD only for the year 2021.

I would consider accommodating further, but you have not provided a sufficient explanation of how these records are maintained for me to do so. Your position that file or folder descriptions for these computer records would disclose critical computer system configurations is not reasonable. Such information is equivalent to the indexing and file folders used for paper records. Given that computer records are replacing paper records, your position would effectively override the statutory duty of public offices to inform citizens how public records are maintained so they can revise their record requests.

I would clarify again that I am requesting "a compilation of information gathered from public records [which] is a separate public record subject to disclosure under R.C. 149.43." Kish v. Akron, 109 Ohio St. 3d 162, 168-69 (2006). The OMDF and the CVA are public records, subject to disclosure, and my request for these records for the year 2021 can't be fairly characterized as a request for a data dump. I would even consider further revising the request if you would explain how the records are maintained in folders and data files. I am not requesting paper records, which are rapidly becoming obsolete. I remain open and willing to work with you to resolve any issues in a manner consistent with the Public Records Act.

Thank you, Kathryn Huwig On May 18, 2023, at 2:28 PM, Socrates.Tuch@odh.ohio.gov wrote:

Ms. Huwig,

As explained, these are person-based systems and are indexed (categorized) accordingly.

What you are now requesting with your question about the file structure is an infrastructure record. "Infrastructure record" means any record that discloses the configuration of critical systems including, but not limited to, communication, computer, electrical, mechanical, ventilation, water, and plumbing systems, security codes, or the infrastructure or structural configuration of a building. R.C. 149.433(A). This is not public record and not subject to mandatory release or disclosure pursuant to R.C. 149.43. R.C. 149.433(B)(1). The file structure is part of the system configuration, and the Department declines to discuss the matter.

With respect, the Department is not required to create the custom report (data abstract) you originally requested and since the custom report does not exist, the Department does not have a responsive record for your original request. Your original request included data fields that could be used to identify the individual who is the subject of the information. These data fields include, but are not limited to, zip code, race, and date of birth. Even if these fields were removed, your request is still for a custom report that does not exist. And, as the requested report would not document the activities of ODH, there is no legal obligation for ODH to create such a custom data report.

Your subsequent request for what is colloquially referred to as a "data dump" is improperly overbroad. If you wish to review individual death certificates, you can visit a local health department vital statistics office and provide office with the name of the individual whose death is of interest. If you wish to obtain a certified copy, you will need to pay the fee prior to receipt of the copy. If you wish to obtain an immunization record, you will need the authorization of the individual who is subject of the information. If you obtain a HIPAA-compliant authorization, you can direct it to my attention, and I communicate with the appropriate personnel in providing you with the record.

Sincerely.

Sociates Tuck

Socrates H. Tuch Senior Counsel/Privacy Officer Ohio Department of Heath

<image001.jpg> For information about COVID-19:

coronavirus ohio gov 1-833-4-ASK-ODH

From: Kathryn Huwig < khuwig@gmail.com > Sent: Thursday, May 18, 2023 11:21 AM

To: Tuch, Socrates <Socrates. Tuch@odh.ohio.gov>

Subject: Re: Public Records Request

Mr. Tuch,

So, you are saying that the Ohio Mortality Download File ("OMDF") (as well as the Vaccination Dataset) involves multiple records. Then, I need to know how these records are maintained to revise my request for these records to avoid your concern that it is overbroad. The general convention for maintaining computer records is storing information in a data file, which is contained in a folder or subfolder. The files could be maintained in different categories, such as by year, or location, or categories of persons. I need to know the folder and file structures and descriptions. I also need to know your office's convention regarding which categories are considered distinct records and which are considered information within a record (i.e. fields) so I can revise my request appropriately.

I am aware that your office considers providing a report from a database as being creation of a new record. While I do not share that view, I am attempting to accommodate to avoid an unnecessary dispute, by obtaining the OMDF and Vaccination Dataset for certain years. Unfortunately, this has lead to your concern that such a request is overbroad. Fortunately, the Ohio Legislature has provided a way for us to resolve that issue by requiring public offices to inform requesters of the manner in which records are maintained. As I'm sure you will agree, it is steps like this that avoid unnecessary disputes and the resulting wasted time and resources spent in court proceedings. Thank you for your efforts in this regard.

Kathryn Huwig

On May 17, 2023, at 4:51 PM, Socrates. Tuch@odh.ohio.gov wrote:

Ms. Huwig,

As previously explained, these are multiple records. And, as previously explained, the Department is not obligated to create custom reports or records and declines to do so. I was offering an alternative method of possibly obtaining the data you seek. I believe you are aware of the Ohio Department of Health's public warehouse. It has deidentified death data but no immunization data.

Sincerely,

Socrates Tuck
Socrates H. Tuch

Senior Counsel/Privacy Officer Ohio Department of Heath

<image001.jpg> For information about COVID-19:

coronavirus.ohio.gov 1-833-4-ASK-ODH

From: Kathryn Huwig < khuwig@gmail.com > Sent: Wednesday, May 17, 2023 4:30 PM

To: Tuch, Socrates <Socrates. Tuch @odh.ohio.gov>

Subject: Re: Public Records Request

Mr. Tuch,

I understand that you don't consider the individual date fields to be records. That's why I asked you in accordance with your duty under R.C. 149.43(B)(2) to describe the manner in which the relevant records are maintained by your office, so that I can revise my request. I need to know how your office categorizes the Ohio Mortality Download File ("OMDF") and the ImpactSIIS Covid-19 Vaccination Dataset ("ICVD") with regard to whether it is a single record, multiple records or part of a larger record.

As I'm sure you're aware "a compilation of Information gathered from public records is a separate public record subject to disclosure under R.C. 149.43." Kish v. Akron, 109 Ohio St. 3d 162, 168-69 (2006). You say that my request for the OMDF and the ICVD for certain years is overbroad. Alright, then please describe how the

records are categorized, so I can revise my request to avoid that. If there are multiple records in the OMDF and ICVD, let me know what they are, so I can form my request accordingly. If they are individual records and do not contain multiple records, then I won't be overbroad since I will be asking for the only record that exists.

You say that I am asking for records that include personally identifiable information. I have specifically asked you to provide all information that is not exempt, and that you redact what you consider to be exempt as R.C. 149.43(B)(1) specifically allows (subject of course to my right to challenge incorrect redactions). Given the scope of my request, I have not asked for personally identifiable information, since your office will be redacting it.

You suggest that I am seeking a research dataset for which a public records request is ill-suited. However, R.C. 149.43(B)(4) precludes you from limiting the availability of public records based on what you believe is the intended use of the requested public records.

I would emphasize that I need you to describe the manner in which the requested records are maintained i.e. whether they are categorized as a single record, multiple records or part of a larger record in order to revise my request.

I look forward to hearing from you. Kathryn Huwig

On May 17, 2023, at 1:56 PM, Socrates.Tuch@odh.ohio.gov wrot e:

Ms. Huwig,

Individual data fields or data points are not records. They do not, in and of themselves, serve "to document the organization, functions, policies, decisions, procedures, operations, or other activities of the office." A death

certificate is a record. It may be viewed, and or a certified copy may be requested, at any local vital statistics registrar's office. R.C. 3705.23(A)(1). That an individual death certificate may be view and or obtained in this matter does not render the entire death database public record. Please see the Walsh case cited in the original response. An immunization record is protected health information and can only be obtained with the written authorization of the person who is the subject of the information. R.C. 3701.17(B). These are person-based systems, and the records are indexed accordingly. As indicated previously, your request has been for specific data rather than records. You then want the data organized into a specific record that meets your interests. Accordingly, your request is for a document which does not exist and does not serve to document the activities of ODH. Therefore, ODH is not obligated to create a document solely to satisfy the interest of a requester. R.C. 149.40

The modification you propose is predicated on a complete reproduction of an entire data set. Such "any and all records" requests are vague and overbroad. See Ohio R.C. 149.43(B)(2); see also, State ex rel. Zidonis v. Columbus State Cmtu. College, 133 Ohio St.3d 122, 2012- Ohio-4228, 976 N.E.2d 861, ¶ 21; and State ex rel. Zauderer v. Joseph, 62 Ohio App.3d 752 (10th Dist. 1989). The courts have also found a request overly broad when it seeks what amounts to a complete duplication of a major category of a public office's records. See, e.g., State ex rel. Dillery v. Icsman, 92 Ohio St.3d

312, 2001-Ohio-193, 750 N.E.2d 156 (request for all records "containing any reference whatsoever" to requester was overly broad); Kanter v. City of Cleveland Hts., Ct. of Cl. No. 2018-01092PQ, 2018-Ohio-4592, ¶ 8-12 (holding that a request for all "communications, messages, schedules, logs, and documents shared" regarding requester between City of Cleveland Heights and a newspaper for a specific date range was overbroad); Gupta v. City of Cleveland, Ct. of Cl. No. 2017-00840PQ, 2018-Ohio-3475, ¶ 25 (holding requests for "entire categories of records, such as 'complaints,' 'reports of safety violations,' 'communications,' and 'emails" with no time specification or for multiple years overly broad). Accordingly, the Department cannot comply with your request.

It appears you may be seeking some manner of research dataset. If this is so, the Ohio Public Records Law is ill-suited for obtaining such a dataset. The Ohio Department of Health has a process for receiving and review such requests. The process involves, in part, a review of the request by the impacted program(s), the ODH Data Governance Committee and the ODH Institutional Review Board.

If you wish to amend or modify your request, or if you wish to explore the research dataset process, feel free to let me know and I refer you to the appropriate personnel.

Sincerely,

Socrates Tuch

Socrates H. Tuch Senior Counsel/Privacy Officer Ohio Department of Heath

<image001.jpg> For information about COVID-19:

coronavii

us.ohio.gov

1-833-4-

ASK-ODH

From: Kathryn Huwig khuwig@gmail.com

Sent: Wednesday, May 17, 2023 12:01

PM

To: Tuch, Socrates

<Socrates.Tuch@odh.ohio.gov>
Subject: Re: Public Records Request

Mr. Tuch,

R.C. 149.43(B)(2) requires that you shall provide me with an opportunity to revise my request by informing me of the manner in which records are maintained by your office and accessed in the ordinary course of your office's duty. You're telling me that you don't consider the fields that I have requested to be separate records. So please tell me how you maintain your office's records related to the Ohio Mortality Download File ("OMDF") and the ImpactSIIS Covid-19 Vaccination Dataset. Is your office maintaining the OMDF and ICVD as single records or as multiple records (or possibly as part of a larger record). If they are maintained as multiple records, please describe how these records are categorized so that I may revise my request. As your namesake, Socrates, has told us "The beginning of wisdom is the definition of terms."

You have also said that my request includes protected health information. R.C. 149.43(B)(1) provides that if requested records include exempted information that your office provide all requested information that

is not exempt and notify me of any redaction or make redactions plainly visible. I would therefore request that you provide all non-exempted information or make any redactions plainly visible.

To resolve the issues you describe, I am revising my request to include the entire OMDF and ICVF database record(s) for the years and in the file format originally requested. I understand that you may redact what you consider to be exempted and I will address that matter as appropriate. I would maintain my request for information regarding the manner in which such records are maintained as described above, to inform me regarding any other issue that may arise.

Thank you for your prompt attention, Kathryn Huwig

On May 16, 2023, at 3:00 PM, Socrates.Tuch@od h.ohio.gov wrote:

Ms. Huwig,

After a careful review of your below records request, the Ohio Department of Health has determined that no responsive record exists and, for the reasons articulated below, declines to create a record matching your description.

Please note that your request identifies information you are seeking, rather than specific, existing records of the Ohio Department of Health. The Ohio Public Records Act provides for access to records, but it does not obligate a public office to search for records containing selected information. State ex rel. Fant v. Tober, 8th Dist. No. 63737 (Apr. 28, 1993), 1993 Ohio App. LEXIS 2591, aff'd 68 Ohio St.3d 117 (1993). Further, a public office is under no duty to create new records by searching for and compiling information that may be of interest to the requester. State ex rel. White v. Goldsberry, 85 Ohio St.3d 153, 707 N.E.2d 496 (1999). The information systems you identified are not single records. Instead, they are compilations of existing records. R.C. 1347.01(F). Accordingly, information in a database or information system must be "compiled

and organized in a document created by a public official" to constitute a public record. State, ex.re. Cincinnati Post, v. Schweikert, (1988) 38 Ohio St. 3d 170, , 527 N.E.2d 1230, syllabus ¶ 1. This compilation of information must already exist for that compilation to be a public record. State ex rel. Scanlon v. Deters, 45 Ohio St. 3d. 376, 379, 544 N.E.2d 680 (1989).

Be advised that individually identifiable health information that may be in the possession of the Department will not be provided without specific authorization from the individual who is the subject of the information. R.C. 3701.17. Protected health information is any "information, in any form, . . . that describes an individual's past, . . . physical or mental health status or condition . . . if either of the following applies:" 1) the information reveals the identity of the individual who is the subject of the information; or 2) the information could be used to reveal

the identity of the individual who is the subject of the information, either by using the information alone or with other information that is available to predictable recipients of the information. R.C. 3701.17(A)(2). Information that is in a summary. statistical or aggregate form and that does not identify an individual is a public record and, upon request, shall be released by the Director of Health. R.C. 3701.17(C). A general authorization for the release of medical or other information is not sufficient for the release of information pursuant to R.C. 3701.17. Generally, the Department does not confirm or otherwise comment upon or discuss information in a manner that would identify an individual. To this end, after evaluating your data request, the Department has determined that the requested information could be used to reveal the identify of the individual who is the subject of the

information and therefore, you are requesting protected health information, the release of which is prohibited by law. Walsh v. Ohio Dep't of Health, 2022 Ohio 272, 183 N.E.3d 1281 (Ohio Ct. App. 2022).

With this email, the Ohio Department of Health considers your request responded to and will close its file. If you have any question, feel free to contact me.

Sincerely,

Socrates H. Tuch

Socrates H. Tuch, MA, JD, CPM Senior Counsel/Privacy Officer Ohio Department of Heath 246 North High Street Columbus, OH 43215 (614) 466-4882

<image001.jpg> For
information about
COVID-19:

coronavirus.ohio.

OV

1-833-4-ASK-ODH

From: Kathryn Huwig < khuwig@gmail.com>
Sent: Friday, May 12,

2023 11:33 AM
To: Tuch, Socrates
<Socrates.Tuch@odh.o
hio.gov>
Subject: Public Records
Request

Hello Mr. Tuch,

I am making a public records request for mortality records (data) contained in the Ohio Mortality Download File accessible in the ODH **Electronic Death** Reporting System (EDRS) database and the EnterpriseDatawarehou seSecure database and vaccination records (data) from Ohio's ImpactSIIS **COVID-19 Vaccination** dataset. I request that these records be provided in a csv file format with column headings (electronic records).

From the ImpactSIIS COVID-19 Vaccination Database I am requesting all records contained in the following fields for the years 2020, 2021, 2022 and 2023:

RECIP_ID
RECIP_DOB
RECIP_DOD
RECIP_SEX
RECIP_RACE_1
RECIP_RACE_2
RECIP_RACE_3
RECIP_TPO_DESCR
RECIP_ADDRESS_ZIP
RECIP_ADDRESS_COUN
TY

RECIP_ADDRESS_COUN TY_FIPS VACC_EVENT_ID VACC DATE VACC CVX VACC_MVX VACC_NAME VACC_HISTORICAL VACC_DOSE_NUMBER VACC_FUNDING SOURCE PROVIDER_ORG_SITE_T YPE PROVIDER_ORG_ID PROVIDER_ORG_NAME PROVIDER_FACILITY_N AME PROVIDER_FACILITY_ZI IIS_VINTAGE

From ODH's EDRS and EnterpriseDatawareho useSecure, I am requesting all records contained in the following fields from years 2017, 2018, 2019, 2020, 2021, 2022 and 2023:

DoD_Yr Sex Age DoB_Yr DoB Mo DoB_Dy CityC CountyC StateC CountryC Limits Marital **DPLACE** CoD DoD_Mo DoD_Dy ToD Manner Man_UC ACME_UC **EAC** AUTOP **AUTOPF** DINSTI CityText_R ZIP9 R CountyText_R **DEthnicE**

NCHSBridge CoD1A Interval1a CoD1B Interval1B CoD1C Interval1C CoD1D Interval1D OtherCondition **FileDate** Pandemic_Reason Immed_Cause_Pandemic Consq1_Pandemic Consq2_Pandemic Consq3_Pandemic Oth_Signf_Conds_Pande mic Age_In_Years Hispanic OPHIW_CATEGORY LeadingCause113 LeadingCause130 DATE OF DEATH RAC_ICDCode1 RAC_ICDCode2 RAC_ICDCode3 RAC_ICDCode4 RAC_ICDCode5 RAC_ICDCode6 RAC_ICDCode7 RAC ICDCode8 RAG ICDCode9 RAC_ICDCode10 RAC_ICDCode11 RAC_ICDCode12 RAC_ICDCode13 RAC_ICDCode14 RAC_ICDCode15 RAC_ICDCode16 RAC_ICDCode17 RAC_ICDCode18 RAC_ICDCode19 RAC_ICDCode20 CancerDeath **TBIInd** DiabetesInd ChronicDiseaseInd DrugIndusedInd HeroinInd OthOpioidInd

Carfentanilind
MethamphetamineInd
CommonlyPrescribedOpioi
dsInd

FentanylAnaloguesInd

PresOpiateInd FentanylInd

Thank you for your prompt attention, Kathryn Huwig caution: This is an external email and may not be safe. If the email looks suspicious, please do not click links or open attachments and forward the email tocsc@ohio.gov or click the Phish Alert Button if available.

This e-mail is intended for the sole use of the intended recipient and may contain privileged, sensitive, or protected health information. If you are not the intended recipient, be advised that the unauthorized use, disclosure, copying, distribution, or action taken in reliance on the contents of this communication is prohibited. If you have received this e-mail in error, please notify the sender via telephone or return e-mail and immediately delete this e-mail

Thomas Connors

From:

Socrates.Tuch@odh.ohio.gov

Sent:

Tuesday, June 6, 2023 1:09 PM

To:

Thomas Connors Kathryn Huwig

Cc: Subject:

RE: Kathryn Huwig's May 2023 Records Requests

Mr. Connors,

Thank you for reaching out. Ms. Huwig insisted on requesting custom reports that included PHI. ODH will not repeat the previous email exchange with Ms. Huwig since it appears you have read them. However, as a summation, Ms. Huwig is not entitled to obtain PHI as a public record and ODH is under no obligation to perform custom searches of its data systems in order to create previously non-existent custom reports. That ODH may have, as a courtesy, used system-external, third-party software to create a custom report in the past does not obligate ODH to so on an ongoing or future basis. Accordingly, ODH declines to create a custom report of data which only serves the interest of the requester and is not otherwise necessary to fulfill the mission of the Department.

As previously offered, if Ms. Huwig would like to request a copy of the Deceased Ohioans file, please let me know and I will refer the request. Ms. Huwig may also explore de-identified data afforded in the ODH Public Data Warehouse Mortality Module.

On behalf of ODH, I hope this has been helpful.

Sincerely,

Socrates H. Tuch

Socrates H. Tuch, MA, JD, CPM Senior Counsel/Privacy Officer Ohio Department of Heath 246 North High Street Columbus, OH 43215 (614) 466-4882

> hio Department of Health

For information about COVID-19:

coronavirus.ohio.gov 1-833-4-ASK-ODH

From: Thomas Connors <tconnors@warnermendenhall.com>

Sent: Tuesday, June 6, 2023 11:46 AM

To: Tuch, Socrates <Socrates.Tuch@odh.ohio.gov>

Cc: Kathryn Huwig <khuwig@gmail.com>

Subject: Kathryn Huwig's May 2023 Records Requests

Mr. Tuch.



I am writing as counsel for Kathryn Huwig regarding the Department of Health's ("DOH") denial of her May 2023 public records requests for 2021 mortality and Covid-19 vaccination reports and/or datasets. I wanted to ensure that all efforts to resolve this dispute are exhausted before seeking mandamus relief from the Supreme Court.

You have denied Huwig's request for reports from the subject datasets asserting that they require the creation of records. Ohio law provides that if DOH's computers are already programmed to produce the requested reports, then such reports are considered existing records. Since DOH has provided similar reports in the past, it does not appear that this basis for denial is viable. Nor would the argument that the requests seek personally identifiable health information since the law requires the production of non-exempt information with redactions of exempt information.

Moreover, your denial would at least in part be based on overbreadth, which requires an explanation of how your records are maintained and accessed to permit revision of the requests. Explaining accessing database records would include an explanation of DOH's relevant existing programming and how it has been used. This would include not only the capacity of DOH's inhouse databases, but also its use of licensed SAS software. If this proceeds to litigation, this requirement would justify discovery of how DOD has used these programs to assess whether new programming would be required to respond to Huwig's requests. DOH's duty to provide this information is designed to avoid doing this in the expensive and laborious context of litigation. I remain open to work with you to revise Huwig's requests based on such information as appropriate.

You have denied Huwig's requests for information on DOH's maintaining and accessing of records on the grounds that it would disclose critical computer systems. The meaning of this exception to the DOH's duties under the Public Records Act would present a case of first impression that would center on the plain meaning of the term "critical systems". Broadly, "critical systems" include those that could lead to serious safety, mission, business, or security failures. DOH will have the burden of proving this exception. It does not appear that such an argument would be viable in the context of maintaining and accessing a database. But again, I am willing to work with you to address any legitimate concern.

Huwig has also requested the 2021 mortality and Covid-19 vaccination datasets so she can obtain the requested reports herself, since DOH won't provide them. You have denied this request based on overbreadth and excessive volume. Overbreadth concerns arise when a public office is not reasonably able to identify the requested records. The 2021 mortality and Covid-19 datasets are clearly identifiable as you have already provided the data dictionaries for these datasets. The excessive volume concern involves unreasonable interference with the record-keeper. Such interference can arise in the context of copying a large set of a public office's paper records. There is no such interference in the context of providing a database file, which is an easily transferable compilation record, not a huge set of individual paper records.

I remain open to work with you to resolve the above issues but will need information from your office regarding maintain and accessing the subject records to do so. Please let me know your response. Feel free to call me at 330-888-1240 to discuss this matter.

Thomas W. Connors Mendenhall Law Group 190 North Union Street, Suite 201 Akron, Ohio 44304 330-888-1240 **CAUTION:** This is an external email and may not be safe. If the email looks suspicious, please do not click links or open attachments and forward the email to csc@ohio.gov or click the Phish Alert Button if available.

This e-mail is intended for the sole use of the intended recipient and may contain privileged, sensitive, or protected health information. If you are not the intended recipient, be advised that the unauthorized use, disclosure, copying, distribution, or action taken in reliance on the contents of this communication is prohibited. If you have received this e-mail in error, please notify the sender via telephone or return e-mail and immediately delete this e-mail.

IN THE COURT OF CLAIMS OF OHIO

ROSANNA L. MILLER

Case No. 2020-00618PQ

Requester

Judge Patrick E. Sheeran

V.

DECISION AND ENTRY

OHIO DEPARTMENT OF HEALTH, VITAL STATISTICS

Respondent

COURT OF CLAIMS
OF OHIO

Respondent Ohio Department of Health, Vital Statistics (ODH) objects to a Special Master's Report and Recommendation. The Court overrules ODH's objections for reasons set forth below.

I. Background

On October 23, 2020, Requester Rosanna L. Miller brought a complaint against ODH, alleging that she had been denied access to public records in violation of R.C. 149.43(B). Miller included attachments with the complaint. The attachments show that, on April 20, 2020, Miller asked ODH to "run a report for all Cause of Deaths in Ohio coded as Covid-19 (U07.1)" and Miller included certain search criteria with her request.

The Clerk of this Court appointed a special master who declined to refer the case to mediation. On March 23, 2021, the Special Master issued a Report and Recommendation (R&R). The Special Master stated in the R&R's conclusion:

The evidence before the court demonstrates that respondent keeps multiple databases containing the records responsive to the request and that respondent can produce the requested data output using existing software. Respondent has not shown that the records, compiled from death certificates required to be disclosed in their entirety to any person.



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COURT OF CLAIMS

2021 MAY -4 AM 8: 15

Case No. 2020-00618PQ

DECISION & ENTRY

become exempt from release as personal health information when aggregated in its databases.

Accordingly, the special master recommends the court order respondent to provide requester with the requested records. It is further recommended the court order that requester is entitled to recover from respondent the amount of the filing fee of twenty-five dollars and any other costs associated with the action that she has incurred. It is recommended costs be assessed to respondent.

(R&R, 17.)

On April 2, 2021, ODH filed written objections to the Special Master's R&R. In a certificate of service accompanying the objections, ODH's counsel certified that the objections were served on Miller by means of email and this Court electronic filing system. Miller has filed a response in opposition to ODH's objections. In a certificate of service accompanying the response, Miller certified that her response was served on ODH's counsel by means of email and this Court electronic filing system.

II. Law and Analysis

R.C. 2743.75(F)(2) governs objections to a special master's report and recommendation. Pursuant to R.C. 2743.75(F)(2), either party "may object to the report and recommendation within seven business days after receiving the report and recommendation by filing a written objection with the clerk and sending a copy to the other party by certified mail, return receipt requested. * * * If either party timely objects, the other party may file with the clerk a response within seven business days after receiving the objection and send a copy of the response to the objecting party by certified mail, return receipt requested. The court, within seven business days after the response to the objection is filed, shall issue a final order that adopts, modifies, or rejects the report and recommendation."



COURT OF CLAIMS OF OHIO

2021 MAY -4 AM 8: 15

Case No. 2020-00618PQ

DECISION & ENTRY

ODH has failed to send a copy of its objections to Miller by certified mail, return receipt requested, as required by R.C. 2743.75(F)(2). And Miller has failed send a copy of her response to ODH's counsel by certified mail, return receipt requested, as required by R.C. 2743.75(F)(2). Thus, both ODH's objections and Miller's response are procedurally deficient. The Court nonetheless will consider the objections and response in the interest of justice.

ODH contends in its objections that (1) it is not required to conduct an unique database query to compile a "customized dataset" in response to Miller's public-records request, (2) ODH's previous production of "customized datasets" is not justification for future disclosure as the principle of estoppel does not apply to the state, and (3) if ODH is required to compile "customized datasets" of death data in response to a public-records request, then R.C. 3701.17 is the mechanism through which ODH does not disclose protected health information.

Notwithstanding ODH's objections, the Court finds that the Special Master identified the pertinent issues and reached the correct legal determination based on the ordinary application of statutory law and case law, as they existed at the time of the filing of the complaint. Generally, estoppel "does not apply against the state, its agencies, arms, and agents." *Mateer v. Dir., Ohio Dept. of Job & Family Servs.,* 10th Dist. Franklin No. 07AP-966, 2008-Ohio-1426, ¶ 6. Here, however, the principal issue concerns whether a request for reconfigured data using existing software constitutes an improper request for research. The Ohio Supreme Court has explained that "to constitute improper research, a record request must require the government agency to either search through voluminous documents for those that contain certain information or to create a new document by searching for and compiling information from existing records." *State ex rel. Carr v. London Corr. Inst.*, 144 Ohio St.3d 211, 2015-Ohio-2363, 41 N.E.3d 1203, ¶ 22. In the Court's view, the evidence shows that ODH was not required to search through voluminous documents or create a new document by





2021 MAY -4 AM 8: 15

Case No. 2020-00618PQ

DECISION & ENTRY

searching for and compiling information from existing records to fulfill Miller's public-records request. Rather, ODH simply was required to use its existing software, input search criteria provided by Miller, and produce a report with information that was readily available.

ODH asserts that, if it is required to compile customized datasets of death data in response to a public-records request, then R.C. 3701.17 is the mechanism through which ODH does not disclose protected health information. See R.C. 3701.17(A)(2) (defining the term "protected health information," as used in R.C. 3701.17). Pursuant to R.C. 3701.17(C), "Information that does not identify an individual is not protected health information and may be released in summary, statistical, or aggregate form. Information that is in a summary, statistical, or aggregate form and that does not identify an individual is a public record under [R.C. 149.43] and, upon request, shall be released by the director." See R.C. 3701.17(D) (generally requiring a disclaimer to accompany release of information). Thus, under R.C. 3701.17(C) so long as the report requested by Miller provides information in summary, statistical, or aggregate form, the report requested by Miller does not run afoul of R.C. 3701.17. Moreover, based on the Court's review of R.C. 3701.17, the statute does not provide that R.C. 3701.17 is sole the mechanism through which ODH does not disclose protected health information. Indeed, construing the Ohio Public Records Act, the Ohio Supreme Court has stated, "R.C. 149.43(A) envisions an opportunity on the part of the public office to examine records prior to inspection in order to make appropriate redactions of exempt materials." State ex rel. Office of Montgomery Cty. Pub. Defender v. Siroki, 108 Ohio St.3d 207, 2006-Ohio-662, 842 N.E.2d 508, ¶ 17, quoting State ex rel. Warren Newspapers, Inc. v. Hutson, 70 Ohio St.3d 619, 623, 640 N.E.2d 174 (1994).

III. Conclusion

The Court overrules ODH's objections. The Court adopts the Special Master's Report and Recommendation. The Court ORDERS ODH to forthwith provide Miller with



COURT OF CLAIMS

2021 MAY -4 AM 8: 15

Case No. 2020-00618PQ

-5-

DECISION & ENTRY

the requested records in accordance with the Special Master's recommendation. Miller is entitled recover from ODH the amount of the filing fee of twenty-five dollars and any other costs associated with the action that are incurred by Miller, but Miller is not entitled to recover attorney fees. Court costs are assessed to ODH. The clerk shall serve upon all parties notice of this judgment and its date of entry upon the journal.

ATRICK E. SHEERAN

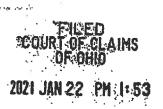
Judge

CC:

ROSANNA L MILLER 10469 Westfall Road Amanda OH 43102

009

William C Greene 30 East Broad Street 26th Floor Columbus OH 43215



IN THE COURT OF CLAIMS OF OHIO

ROSANNA L. MILLER

Case No. 2020-00618PQ

Requester

Special Master Jeff Clark

٧.

ORDER

OHIO DEPARTMENT OF HEALTH, VITAL STATISTICS

Respondent

On December 10, 2020, requester filed a reply asserting that respondent maintains and accesses its records in the ordinary course of operations utilizing programming that is capable of, and has been used in the past, to produce records functionally identical to those requested. Requester has provided copies of two previous reports received from respondent that include; for the first - the county, last name, gender, age, date of death, place type of death, and cause of death code for a selected cause of death over a six year period (Reply Exh. F), and for the second - the first and last name, age, date of death, county of death, autopsy Y/N, place type of death, and cause of death code for a selected cause of death over a six-year period, (Reply Exh. H.) Requester submitted a report downloaded from respondent's web site that shows the code used for COVID-19 as a cause of death. (Complaint Exh. B.)

Additional Information and Documents Required

In order to evaluate the claims and defenses presented in this case, the special master requires an additional pleading from respondent. Pursuant to R.C. 2743.75(E)(3)(c), respondent is directed to file a supplemental pleading (Sur-reply) that addresses and provides the following:

1. Identify all ODH electronic data management programs (e.g., Electronic Death Registration System (EDRS) and other commercial or legacy database or spreadsheet systems) in which the data categories requested by Miller were



JOURNALIZED



Case No. 2020-00618PQ

2021 JAN 22 PM 1:53

ORDER

maintained as of April 20, 2020 for any portion of the date range in her public records request.

-2-

- 2. For each ODH program identified in response to 1., identify all file formats in which the program is capable of producing data and reports by Save As, Export, Print, or any other output function of the program.
- 3. On April 20, 2020, was the existing programming of the EDRS capable of producing a report or other compiled output responsive to all or any part of the request? If so, describe all portions of the request for which a responsive record could be produced from the EDRS, including, if applicable, codes for cause of death.
- 4. Identify the content of the ODH report described as a "Death Data File." State whether the ODH data management program used to produce this report in the past remained capable on April 20, 2020 of producing the report.
- 5. Provide a copy of the EDRS user manual(s) and EDRS training materials used by and/or kept by ODH.
- 6. Unless included in the manuals and materials provided under item 5, provide screenshots of all menus and submenus available under EDRS interface tabs titled Reports, Archives, Policies & Documentation, and Administration, or functionally equivalent titles.
- 7. Describe how the EDRS is utilized in the ordinary course of ODH's duties, including input of, access to, and reporting of causes of death.
- 8. Explain how requester's Exhibits F and H were created, including but not limited to the source of each data column and the specific program(s) used to create the reports.
- Support answers to the above with affidavit(s) from ODH information Technology
 personnel or other officials with direct personal knowledge of the output
 capabilities of the relevant ODH electronic systems.



2021 JAN 22 PM 1: 53 ORDER

Case No. 2020-00618PQ

-3-

10. Provide any desired supplemental legal argument in support of responses to this order, and in response to requester's reply.

Respondent shall file its sur-reply on or before February 9, 2021. On receipt of the information and documents required by this order, the court will determine whether further information is required.

JEFF CLARK

Special Master

CC:

ROSANNA L. MILLER 10469 Westfall Road Amanda, OH 43102

010

William C. Greene 30 East Broad Street, 26th Floor Columbus, OH 43215

IN THE OHIO COURT OF CLAIMS

ROSANNA MILLER

CASE NO. 2020-00618PQ

V.

SPECIAL MASTER JEFF CLARK

OHIO DEPARTMENT OF HEALTH | VITAL STATISTICS

AFFIDAVIT OF KAREN SORRELL

- 1. I, Karen Sorrell, being duly sworn and cautioned according to law, state as follows:
- 1 currently serve as the Chief of the Ohio Department of Health's Bureau of
 Vital Statistics.
- 3. In this role, I oversee all aspects of the Office, including the management of the Vital Statistics data system known as the Electronic Death Registration System ("EDRS").
- 4. EDRS is a state-managed data system for tracking the information received by the ODH.
 - 5. EDRS is the system of record for death data for the ODH.
- 6. Based on personal knowledge and the best information available to me, I assisted in preparing the document titled "Responses to Additional Information and Documents Required" as well as the materials requested by the Special Master on January 29, 2021.
 - Copies of the documents are attached hereto as:
 - Exhibit A Responses to Additional Information and Documents Required



- Exhibit B Death Data File Layout
- Exhibit C E Physician EDRS Guide
- Exhibit D VS-FuneralHomeEDRSGuide2016
- Exhibit E VS-CoronerEDRSGuide2018
- Exhibit F EDRS Pages from Local VS Manual 2018
- Exhibit G EDRS Menu Screenshots

FURTHER AFFIAN	NT SAYETH NA	AUGI	łT.	
			Karen Sorrell	
STATE OF OHIO COUNTY OF FRAM	NKLIN	} } }	SS:	
Swom before me and subscribed in my presence by Karen Sorrell, this				
	BRIAN J. CALANDR ATTORNEY AT LAW Notary Public State of Ohio JFETIME COMMISSION		State of Ohio Notary Public Commission Expiration: N/A	

April 20, 2020 Public Record Request
Name of deceased
Age
Date of Death
County of Death
Autopsy Y/N
Place of Death (hospital, residence, etc.)

Death Code of U07.1 (COVID)



- 1. As of April 20, 2020, ODH maintained the following programs/databases:
 - QSTVRSOH (this is the database designation and not an acronym. The database is commonly referred
 to as EDRS Electronic Death Registration System)
 - QSDB_Reporting (this is the database designation and not an acronym).
 - EnterpriseDatawarehouseSecure
- 2 EDRS: EDRS contains death event data reported by funeral home directors, coroners, and local health departments. The sole function of EDRS is to create and print death certificates. Funeral homes are able to copy limited demographic text from EDRS of death events managed by the specific funeral home for internal use such as billing. Local health department Vital Statistics (VS) registrars can use the same limited demographic information to track disposition permits for remains (often referred to as burial permits).

QSDB_Reporting: No print or export function.

EnterpriseDatawarehouseSecure: EnterpriseDatawarehouseSecure database pulls nearly all the data contained in EDRS. The EnterpriseDatawarehouseSecure database serves as the backend database for the Ohio Public Health Information Warehouse. The warehouse has a public version (Public Portal) and a secure version (Secure Portal) which requires a login. The Public Portal aggregates data and provides rates and counts with no identifying information; whereas, the Secure Portal allows access to identifying information, but access is limited to specific users such as state and other governmental agencies. The results from the Public Portal can be downloaded in Excel, PDF, Word, CSV file and printed. Those with access to the Secure Portal can download in Excel, PDF, Word, CSV file and print; or download a predefined file as designed by the National Center for Health Statistics.

The EnterpriseDarawarehouseSecure database is then divided into two modules through the Secure Portal: The Secure Mortality Module and the Secure Death Roster Module.

The Secure Mortality Module contains virtually all variables that are collected via EDRS and used by individuals and specific governmental agencies with statutorily mandated broad access (e.g., Medicaid).

The Death Roster Module contains data for deaths that occurred in Ohio for the purpose of assisting agencies with maintaining current records and identifying deceased and former members of the armed forces. There is identifying demographic information; however, no cause of death information is included. The downloadable files proxide two choices: The Deceased Ohioans Report (created monthly) and the Deceased Veterans Report (created quarterly). Access to the module is limited to State of Ohio agencies, other government agencies, hospitals, veteran commission offices, and government agencies such as boards of election, pension funds, local JFS offices, courts, and prosecutors. The public and non-governmental entities can request the Deceased Ohioans report free of charge but are not provided access to the module.

- 3. No. EDRS is used by a limited number of funeral home and local registrar staff as described in #2 above. For any additional use, the data must be removed from EDRS to a different platform before 3rd party software can be applied. See #2 above and #4 below.
- 4. The Death Data file contains the variables in the attachment named Death Data File Layout. Although, the Bureau of Vital Statistics could produce this report on April 20, 2020 using 3rd party software, it is not created in the normal course of business and serves no operational function.
- 5. The Bureau of Vital Statistics maintains four user manuals related to EDRS. They are attached to this document.
- 6. Attached are EDRS menu screenshots for EDRS menus/sub-menus that are not included in the manuals.
- 7. EDRS is used by funeral home staff to create individual death records for those who died in Ohio. Funeral home staff enter demographic information about the decedent gathered from the family such as their full name, place of residence, education, marital status, and the place of death. Funeral homes then select (in EDRS) the attending physician or coroner as appropriate to certify the medical/cause of death information. All coroners and physicians who have registered electronically complete the cause of death and certify their portion of the record directly in EDRS; unregistered physicians complete the cause of death on a printed paper version of the death record (printed from EDRS). Once both the funeral director responsible for the disposition and the physician or coroner have signed the record it is filed with the local health department (LHD). Electronically certified death records are filed/registered electronically with no action needed by the LHD; paper death records are forwarded by the LHD to the Bureau of Vital Statistics so staff can enter the cause of death into EDRS and register the death event record. Once the record is registered it is available for issuance from EDRS as a printed death certificate.
- 8. Based on review of the exhibits it appears that both exhibits were created using a customized Statistical Analysis Software (SAS) query. SAS is a 3rd-party software product. However, ODH cannot definitively confirm the source.

IN THE COURT OF CLAIMS OF OHIO

RANDY LUDLOW,

Requestor,

CASE NO.: 2021-00040PQ

SPECIAL MASTER JEFF CLARK

VS.

THE OHIO DEPARTMENT OF HEALTH,

Respondent.

RESPONSE TO REQUESTOR'S COMPLAINT AND MOTION TO DISMISS

Pursuant to Civ. R. 12(B)(6), R.C. 149.43, and R.C. 2743.75, Respondent Ohio Department of Health, Bureau of Vital Statistics, hereby responds to Requestor's Complaint and moves to dismiss it because Respondent is not legally entitled to the information he seeks. A Memorandum in Support is attached.

Respectfully submitted,

DAVE YOST (0056290) Ohio Attorney General

/s/ William C. Greene
WILLIAM C. GREENE (0059230)
Assistant Attorney General
Ohio Attorney General's Office
Health & Human Services Section
30 East Broad Street, 26th Floor
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1

Case #: 2021-00040PQ Envelope ID: 32424

MEMORANDUM IN SUPPORT

I. INTRODUCTION

The Ohio Department of Health (the "Department") provided a complete response to Mr. Ludlow's public records request. Mr. Ludlow made a public records request for the entire data file of death information kept by the Department in a database that is called the Electronic Death Reporting System ("EDRS"). The information in the EDRS is stored in a database entitled the Secure Mortality Module. Mr. Ludlow was given the data dictionary of the Secure Mortality Module, from which he chose the death information he was seeking. The Department created a customized report for Mr. Ludlow. However, this customized report contained Protected Health Information ("PHI"), i.e. the names and addresses of the decedents along with cause of death information. PHI is not a public record because its release is prohibited by state law. For this reason, the Department redacted the names and addresses from Mr. Ludlow's customized report and provided the balance of the information he requested. Mr. Ludlow received all the records to which he was entitled. Mr. Ludlow's complaint should be dismissed.

II. STATEMENT OF FACTS

The Department uses a program called the Electronic Death Registration System ("EDRS") to file and maintain death records in Ohio. (Complaint at pg. 5). EDRS contains death event data reported by funeral home directors, coroners, and local health departments. (Complaint

¹ A data dictionary is a listing of the data fields with a description of the information in each data field.

² PHI is any "information, in any form, . . . that describes an individual's past, . . . physical or mental health status or condition . . . if either of the following applies:" 1) the information reveals the identity of the individual who is the subject of the information; or 2) the information could be used to reveal the identity of the individual who is the subject of the information, either by using the information alone or with other information that is available to predictable recipients of the information. R.C. 3701.17(A)(2).

at pg. 5). The sole function of EDRS is to create and print death certificates. (Exhibit A). In addition EDRS, the Department maintains another database, EnterpriseDataWarehouseSecure, which is the backend database for the Ohio Public Health Information Warehouse. (Exhibit A). Data from **EDRS** is pulled into EnterpriseDataWarehouseSecure (Exhibit A). EnterpriseDataWarehouseSecure securely stores death data in two modules, the Secure Mortality Module and the Secure Death Roster Module. (Exhibit A).

The Secure Death Roster Module contains identifying demographic information, but not cause of death information. The Secure Death Roster Module has been programmed to compile certain death information into a monthly report entitled the Deceased Ohioan's Report. (Exhibit A). This report contains identifying demographic information, but does not contain the cause of death information. (Exhibit A). This report is a public record. (Exhibit A). Mr. Ludlow was offered the Deceased Ohioan's Report, which he did not accept. (Complaint at pg. 7).

The Secure Mortality Module has virtually all the information that is contained in EDRS, which includes identifying demographic information and cause of death information. The Secure Mortality Module was created to allow specific governmental agencies broad access to death data so that the agencies can perform their functions. (Exhibit A). The Secure Mortality Module is not programmed to produce any specific reports. However, specific fields of information can be extracted from the Secure Mortality Module and downloaded into another format.

In order to resolve the public records request dispute, the Department offered to create a unique custom report from the Secure Mortality Module. Mr. Ludlow was given the data dictionary of the Secure Mortality Module from which he chose the death information he was seeking. (Complaint at pg. 13), (attached as Ex. H). Mr. Ludlow chose twenty-nine (29) specific

fields which correspond to the following information:

- date of death year,
- · decedent's name,
- sex,
- age,
- date of birth,
- residence.
- marital status,
- place of death,
- · county where death occurred,
- month of death,
- · day of death,
- time of death,
- manner of death,
- International Classification of Disease (ICD) cause of death codes,
- place of death (description and address),
- race,
- · place of injury,
- description of injury,
- literal description of cause of death,
- filed date,
- pandemic checkbox variables,
- · leading cause of death category codes,
- · location of death,
- ICD 10 death codes,
- · chronic disease indicator,
- drug induced indicator,
- · heroin indicator,
- other opioids indicator,
- and indicators for specific drug types (prescription opiates, fentanyl, fentanyl analogues, carfentanil, methamphetamine, designer opioids, commonly prescribed opiates.

(Complaint at pgs. 15-16)

The Department created a report based on Mr. Ludlow data field selections. The Department redacted the name and address fields from Mr. Ludlow's custom report. Mr. Ludlow received all the information he requested, except for the information that identified or could be used to identify the decedents.

III. LAW AND ARGUMENT

- A. Mr. Ludlow's request for a copy of the Electronic Death Reporting System in spreadsheet form is not a proper public records request.
 - 1. The Department is not required to produce an entire database or conduct a customized search of a database in response to a public records request.

Mr. Ludlow's request for the entire computer file of death data is an overbroad public records request. R.C. 149.43(B)(2). Further, the Department is not required to search the database to locate records that meet specific criteria. *State ex rel. Shaughnessy v. City of Cleveland* 149 Ohio St. 3d 612, 614-615, 76 N.E 3d 1171, 1175 (2016). However, the Department can conduct a specific search and provide the records, which it did in this case. *Id.*

2. Mr. Ludlow's request should be denied because it asks the Department to disclose protected health information, which the Department is prohibited from disclosing.

Mr. Ludlow's complaint must be denied because it seeks PHI. See R.C. 3701.17(A)(2). PHI is not a public record because its release is "prohibited by state or federal law," subject to exceptions that are not relevant here. Patrick Walsh v. Ohio Department of Health et al., 20 CV 006561, (February 26, 2021) (Attached as Exhibit A); See R.C. 149.43(A)(1)(v).

In Walsh, the Franklin County Court of Common Pleas granted the Department's Motion to Dismiss a mandamus action regarding a public records request for death information. In that case, the Relator asked the Department to give him a list of individuals who have died since January 1, 2020, complete with each individuals' first, middle, and last names, date of birth, date of death, gender, last known address, last four digits of the decedent's social security number, primary underlying ICD-10 cause of death, other contributing ICD-10 cause of death, occupation of decedent, and industry of decedent. The Court went through a step by step analysis to determine if the information sought met the definition of a public record.

The Court determined that any information that met the definition of PHI would be excluded from the definition of a public record, as it would be a "record, the release of which is prohibited by state of federal law." *Id. at* pg. 4, (citing R.C. 149.43(A)(1)(v)). PHI is any "information, in any form, . . . that describes an individual's past, . . . physical or mental health status or condition . . . if either of the following applies:" 1) the information reveals the identity of the individual who is the subject of the information; or 2) the information could be used to reveal the identity of the individual who is the subject of the information, either by using the information alone or with other information that is available to predictable recipients of the information. R.C. 3701.17(A)(2). PHI that is reported to or obtained by the Department of Health is confidential and cannot be released without the written consent of the person who is the subject of the information, unless an exception in R.C. 3701.17 applies. R.C. 3701.17(B).

The Ohio Supreme Court provided guidance on the type of information that is PHI, holding that records "specifically related to a person's physical status or condition" are protected health information under R.C. §3701.17(A)(2). Cuyahoga Cnty. Bd. of Health v. Lipson O'Shea Legal Group, 145 Ohio St.3d 446, 2016-Ohio-556, 50 N.E.3d 499. R.C. 3701.17(B); Board of Health of Cuyahoga Cnty. v. Lipson O'Shea Legal Group, 2013-Ohio-5736, ¶30. See also 3701.23 (reporting of infectious disease information is PHI under 3701.17). Following this guidance, the Walsh Court then determined that the information sought met the definition of PHI in R.C. 3701.17. Walsh v. Ohio Department of Health at pg. 6 ("[T]he cause of death, which Relator seeks, when coupled with the other information he seeks, would 'reveal the identity of the individual who is subject of the information' by itself."). The Court further determined that no statutory exceptions permit the release of this information. Id at pgs. 6-7.

The Court rejected Walsh's claim that because the information he sought was contained in

an individual death certificate, the compiled information from multiple death certificates could be obtained through a public records request. *Id. at* pg. 8 ("the ability to obtain a decedent's cause of death via a death certificate does not make the information obtainable under the public records act"). The Court stated that there is a different statutory process for obtaining a death certificate. *Id.*

Most recently, in *McGlone v. Centrus Energy Corp.*, S.D.Ohio No. 2:19-cv-2196, 2020 U.S. Dist. LEXIS 138449, at *4-5 (Aug. 4, 2020), the plaintiff issued a subpoena to the Department seeking information from the Ohio Cancer Incidence Surveillance System database. The information maintained in the database includes an individual's street address, city, county, zip code, race, age, date of diagnosis, cancer type and prescribed treatment. (*Id.* at ¶6 and 7.) The data is collected and housed in software made available from the CDC. (*Id.* at ¶9.) The database contains protected health information ("PHI") as defined in Ohio Revised Code §3701.17(A)(2), the confidentiality of which the Department is required to protect. (ECF No. 13-1, at ¶10 and 11.) In *McGlone*, the Court recognized that the Department has a duty to protect the confidentiality of PHI and the data should not be disclosed if the data reveals the identity of a specific person.

B. The Department fulfilled its obligation under R.C. 149.43 by providing Mr. Ludlow with the Secure Mortality Module dictionary to allow Mr. Ludlow to revise his request.

The Department offered the Deceased Ohioans Report which contains identifying demographic information, but does not contain the cause of death information. This report is not PHI, because it does not provide "information, in any form, . . . that describes an individual's past, . . . physical or mental health status or condition." R.C. 3701.17(A). Mr. Ludlow declined to accept this report.

After discussions between Mr. Ludlow and the Department, Mr. Ludlow was provided with

the data dictionary of the Secure Mortality Module which listed the fields of all the death information. Mr. Ludlow chose twenty-nine (29) fields of death information. The Department created a custom report based on this request. However, this report was PHI because it contained both the identity of the decedent and information of the decedent's past, physical or mental health status or condition, i.e. cause of death. Because Mr. Ludlow was interested in the cause of death, the Department redacted the names and addresses of the decedents so that the report no longer met the definition of PHI. Mr. Ludlow received all the death information he requested, except for the name and address of the decedents, which the Department properly redacted.

C. Previous disclosure by the Department of the cause of death is not justification for future disclosure as the principle of estoppel does not apply against the state.

"It is well-settled that, as a general rule, the principle of estoppel does not apply against a state or its agencies in the exercise of a governmental function." Ohio State Bd. of Pharmacy v. Frantz, 51 Ohio St.3d 143, 145-146, 555 N.E.2d 630 (1990). See, also Sekerak v. Fairhill Mental Health Ctr., 25 Ohio St.3d 38, 495 N.E.2d 14 (1986), and Besl Corp. v. Pub. Util. Comm., 45 Ohio St.2d 146, 150, 341 N.E.2d 835 (1976). The Department is a state agency that is responding to a public records request. In doing so, the Department is performing a public function. As such, the principle of estoppel is inapplicable.

IV. CONCLUSION

The Department has fully complied with its obligations in responding to Mr. Ludlow's public records request. For the foregoing reasons, the Ohio Department of Health respectfully requests that Randy Ludlow's complaint be dismissed.

Respectfully submitted,

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CERTIFICATE OF SERVICE

This certifies that the foregoing Defendant's Response to Requestor's Complaint and Motion to Dismiss was electronically served this 23rd day of April, 2021 on John Greiner, attorney for Randy Ludlow, by email at jgreiner@graydonlaw.com and through the Court's electronic filing system.

/s/ William C. Greene Assistant Attorney General



Mike DeWine, Governor Jon Husted, Lt.Governor Stephanie McCloud, Director

DIRECTOR JOURNAL ENTRY

In re COVID 19 Volunteer Vaccine Providers.

WHEREAS, the Governor declared a State of Emergency on March 9th, 2020, due to novel Coronavirus (2019-nCoV, known as COVID-19), a disease of major public concern; and

WHEREAS, the United States Food and Drug Administration (FDA) has granted . Emergency Use Authorizations (EUAs) to the COVID vaccines developed by Pfizer and Moderna; and

WHEREAS, a large-scale campaign will be needed to vaccinate all Ohioans who wish to be vaccinated once the vaccines become sufficiently available; and

WHEREAS, general and city health departments have plans to use points of dispensing and clinic locations to support a large-scale vaccination campaign; and

WHEREAS, Medical Reserve Units have been established throughout Ohio to support a large-scale vaccination campaign; and

WHEREAS, there may be an insufficient number of health professionals available to conduct a large-scale vaccination campaign; and

WHEREAS, Section 3701.048 of the Revised Code, allows the Director of Health to, in consultation with the appropriate professional regulatory boards of Ohio, develop protocols that authorize individuals to administer, deliver, or distribute drugs, other than schedule II and III controlled substances, during a period of time the Governor declares to be an emergency that affects the public's health;

NOW THEREFORE, I, Stephanie McCloud, Director, Ohio Department of Health (ODH), in accordance with my authority set forth in Section 3701.048, **HEREBY ORDER AND AUTHORIZE**, the following professionals, within the scope of their respective licensure and according to the competencies set forth by their respective licensing board, unless otherwise stated herein, to administer, deliver, or distribute the

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drugs, other than schedule II and III controlled substances, set forth in this order during this COVID State of Emergency, notwithstanding any statute or rule that otherwise prohibits or restricts the administration, delivery, or distribution of drugs by these professionals:

- (1) A physician authorized under Chapter 4731. of the Revised Code to practice medicine and surgery, osteopathic medicine and surgery, or podiatric medicine and surgery;
- (2) A physician assistant licensed under Chapter 4730. of the Revised Code;
- (3) A dentist or dental hygienist licensed under Chapter 4715. of the Revised Code;
- (4) A registered nurse licensed under Chapter 4723. of the Revised Code, including an advanced practice registered nurse, as defined in section 4723.01 of the Revised Code;
- (5) A licensed practical nurse licensed under Chapter 4723. of the Revised Code;
- (6) An optometrist licensed under Chapter 4725. of the Revised Code;
- (7) A pharmacist or pharmacy intern licensed under Chapter 4729. of the Revised Code;
- (8) A respiratory care professional licensed under Chapter 4761, of the Revised Code:
- (9) An emergency medical technician-intermediate (now known as an "Advanced EMT") or emergency medical technician-paramedic (now known as a "Paramedic") who holds a certificate to practice issued under Chapter 4765. of the Revised Code;
- (10) A veterinarian licensed under Chapter 4741. of the Revised Code.

For this Order to apply, these individuals will need to be Registered Volunteers pursuant to Section 5502.281 of the Revised Code and actively deployed by a recognized Medical Reserve Corps (MRC) unit in support of points of dispensing.

Pharmacy interns, medical, and nursing students who are sufficiently advanced in their education at their respective professional schools with the necessary

- c. However, if it is not feasible to adhere to the recommended interval, the second dose of Pfizer and Moderna may be scheduled for administration 4 days before the 21 or 28 day intervals depending on product being administered and up to 6 weeks (42 days) after the first dose.
- d. There are currently limited data on efficacy of mRNA COVID-19 vaccines administered beyond this window.
- e. If the second dose is administered beyond these intervals, there is no need to restart the series.

8. Document the Vaccination.

- a. Medical Record: Record the date and the vaccine that was administered, the manufacturer and lot number, the vaccination site and route, the vaccine dosage, and the name and title of the person administering the vaccine. Document the VIS given, and VIS publication date.
- b. Immunization Record Card: Record the date of vaccination, product name/manufacturer, lot number and the name/location of the administering clinic or healthcare professional.
- c. Documentation of the vaccination in Ohio's immunization information system ImpactSIIS within 24 hours following vaccination.
- 9. Observe the Vaccine Recipient.
 - a. 30 minutes: Persons with a history of an immediate allergic reaction of any severity to a vaccine or injectable therapy and persons with a history of anaphylaxis due to any cause.
 - b. 15 minutes: All other persons.

10. Emergency Protocols

a. If a patient experiences itching and swelling confined to the injection site where the vaccination was given, apply a cold compress to the injection site. Observe patient closely for the development of generalized symptoms until symptoms subside.

- b. If symptoms are generalized (generalized itching, redness, urticaria (hives); or include angioedema (swelling of the lips, face, or throat); shortness of breath; shock; or abdominal cramping; call 911 and notify the supervising healthcare professional. Notifications should be done by a second person while the primary healthcare professional assesses the airway, breathing, circulation and level of consciousness of the patient. Vital signs (heart rate, respirations and blood pressure, pulse ox) should be taken every 5 minutes.
 - i. First-line treatment of an anaphylactic reaction is to administer Epinephrine (1 mg/ml aqueous solution [1:1000 dilution]) intramuscularly.
 - ii. The adult dose is 0.3mg to 0.5mg with maximum dose of 0.5mg; or as auto-injector (0.3 mg)
 - iii. For hives or itching, you may also administer diphenhydramine (orally or intramuscular with a standard dose of 25-50mg.) or hydroxyzine (standard oral dose is 25mg -100mg or 0.5-1.0 mg/kg.
 - iv. Monitor the person closely until EMS arrives. Monitor blood pressure and pulse every 5 minutes.
 - v. If EMS has not arrived and symptoms are still present, repeat dose of epinephrine every 5-15 minutes for up to 3 doses depending on the person's response.
 - vi. Record the person's reaction to the vaccine (e.g., hives, anaphylaxis), all vital signs, and medications administered to theperson, including time dosage, response, and the name of the medical personnel who administered the medication and other relevant clinical information.
 - vii. Adverse events must be reported to the Vaccine Adverse Event Reporting System (VAERS) at https://vaers.hhs.gov/reportevent.html or call 1-800-822-7967.
 - viii. Report the adverse event to the person's primary care provider.

·	to prevent a threat to the public's health; no
person shall fail to follow this order.	
Shohan M'Cloud	2.12.2021

Director Journal Entry: In re COVID 19 Volunteer Vaccine Providers

Stephanie McCloud Director of Health

Date