The Supreme Court of Ohio

Specialized Dockets

Application for Certification as a Specialized Docket Cover Page and Instructions

Application type (check one)
☐ New docket
Recertification of existing docket (same judge)
Recertification of existing docket (new judge)
Prior judge:
Date prior judge last presided over the docket:
Instructions
To be certified as a specialized docket pursuant to <u>Sup.R. 36.20 36.32</u> and <u>Sup.R. Appx. I.</u> , a judge shall submit this application along with the necessary written materials to the Supreme Court of Ohio Specialized Dockets Section, at <u>certspecdocs@sc.ohio.gov</u> .
By submitting the application with the required documents, the judge certifies that the specialized docket incorporates the standards contained in <u>Sup.R. Appx. I.</u> in its practices, procedures, and operations.
Specialized Docket Section staff is available at 614.387.9425 to provide technical assistance for this application, including discussing standards, providing examples of program materials, and answering questions. Staff may request additional information upon receipt of the application.
In addition to a document review, the Supreme Court of Ohio Specialized Dockets Section will conduct a site visit to observe the treatment team meeting and status review hearing will pursuant to Sup.R. 36.25 .
Attach the following specialized docket documents pursuant to Sup.R. 36.21 :
Local rule or administrative order,
Program description,
Participation agreement,
Participant handbook

THE SUPREME COURT of OHIO

Specialized Dockets

Application for Certification as a Specialized Docket

1.	Court Information				
	Judge name:				
	Judge email address:				
	Court:				
2.	Docket Type Adult Substance Use Docket Substance Use - Domestic Violence Docket Substance Use - Human Trafficking Victims Docket Substance Use - Reentry Docket Substance Use - Veterans Treatment Docket Mental Health Docket OVI Docket SUMI Docket	☐ Juvenile Substance Use - Human Trafficking Victims Docket ☐ Juvenile Mental Health Docket ☐ Juvenile Treatment Docket ☐ Family Dependency Treatment Docket ☐ Juvenile Substance Use Docket			
3.	Contact Details				
	Coordinator name:				
	Coordinator email:				
	Coordinator phone:				
	If you are not the coordinator,				
	Your name:				
	Your email address:				
	Your role in the specialized docket:				
4.	If this is the first application for certification, o	lid the judge submit a Notice of the Formation			
	of Advisory Committee and Intent to Apply for C	Certification per Sup.R. 36.21?			
	☐ Yes ☐ No ☐ N/A				
5.	If this is the first application for certification, omagistrate) observe another certified docket iden				
	☐ Yes ☐ No ☐ N/A				
	If Yes, Judge Observed:	County/Jurisdiction:			
	Docket Type Observed:				
6.	Does the docket have an Advisory Committee?	☐ Yes ☐ No			
7.	What is the role of the Advisory Committee? (S	Select all that apply)			
	☐ Provide oversight on policies and procedures	3			
	☐ Facilitate agreements with partner agencies				
	☐ Improve the quality and expands the quantity of available services				
	☐ Garner community support for the Specialize	d Docket			
	Evaluate the specialized docket effectiveness				
	☐ Plan for the sustainability of the Specialized I	Docket			
8.	Does the judge chair and attend Advisory Com	mittee meetings?			

9.	When was the last time the Advisory Committee reviewed and approved the Program Description? Month/Year:
10.	Does the Program Description strive to incorporate <u>national best practices</u> for the particular type of docket and participants to be served? Yes No
11.	When was the last time the Advisory Committee reviewed and approved the Participation Agreement? Month/Year:
12.	Does the Participation Agreement detail the rights and responsibilities of participants? Yes No
13.	Does the Participation Agreement inform participants of their right to have counsel present at the portion of the treatment team regarding them?
14.	When was the last time the Participant Handbook was reviewed and approved by the Advisory Committee? Month/Year:
15.	Is this Participant Handbook written to the participant?
16.	When are participants given the handbook?
17.	Does the docket have MOUs with all agencies participating on the Advisory Committee? Yes No
18.	Which of the following regularly attend treatment team meetings and status review hearings? Prosecutor:
19.	Does the docket take into consideration the prosecutor's role in pursuing justice and protecting public safety and victim's rights, including victim notifications under Marsy's Law? Yes No
20.	Does the docket take into consideration the defense counsel's role in preserving the participant's constitutional rights? Yes No
21.	What criminogenic risk levels does the docket accept? (Select all that apply)
	☐ High ☐ High/Moderate ☐ Moderate ☐ Low/Moderate ☐ Low
22.	If the docket accepts low risk/low need individuals, are alternate tracks modified for these populations per Ohio Standard 2(B)? \square Yes \square No
23.	Are there charges that render a participant ineligible? Yes No
	If yes, check all that apply: Drug trafficking Sexual oriented offenses Child victims Other
24.	Are participants assessed for treatment needs?
	If yes, check all that apply:
	Understand diagnosis (if any) Assessed by court Assessed by treatment provider

25.	Which of the following are included in the cl	ınıcal elig	ability crite	ria?
	Substance Use Disorder diagnoses: Mild	Mod	lerate 🗌 S	Severe N/A
	Mental Health Disorder diagnoses: Mild	Mod	lerate 🗌 S	Severe N/A
	Other:			
26.	Are potential participants excluded for any o	f the foll	owing?	
	Co-occurring disorders	Yes	☐ No	If Yes, specify:
	Medical conditions	Yes	□No	If Yes, specify:
	Prescription medications legally obtained (psychotropic, pain management, MAT, etc.)	Yes	□No	If Yes, specify:
	Medical marijuana legally obtained with physician recommendation	Yes	□No	If Yes, specify:
	Subjective criteria (perceived motivation, willingness to change)	Yes	□No	If Yes, specify:
	Lack of transportation	Yes	□No	If Yes, specify:
	Inability to pay	Yes	□No	If Yes, specify:
	Diagnosed personality disorders	Yes	☐ No	If Yes, specify:
27.	Does the docket have a written referral proceevaluation, and transfer into the docket?			ndidate identification, No
28.	At what stages of the legal process are referred	als accept	ted? (Select	all that apply)
	Pre-plea	☐ P	ost-plea	
	Pre-adjudication/conviction	☐ P	ost-adjudica	tion/conviction
	Judicial Release	☐ P	robation vic	lation
	Other			
29.	Which of the following can refer participants	to the do	ocket? (Sele	ect all that apply)
	Prosecutor Defense Counsel	□Judge	<u> </u>	☐ Magistrate
	☐ Law Enforcement ☐ Probation/Parole	☐ Child	ren Services	☐ Veterans Services
	☐ GAL/CASA ☐ Treatment Provider	Othe	r	

30. Which assessm ☐ ORAS	ent instrument(s) does the ☐ ORAS-MAT	e docket use? (Select	all that apply) □ OYAS
			
COMPASS	RANT	☐ LSI-R	□ DVSI-R
GAIN	☐ PSA	Custom Assessmen	nt None
Other:			
31. Which clinical a	assessment(s) does the do	cket use?	
☐ DSM-5 Cross cu	ıtting measure	Beck Depressi	on Inventory-II (BDI-II)
Mental Health	Screening Form-III	Beck Anxiety I	Inventory (BAI)
Modified Mini-	Screen (MMS)	GAD-7 Anxiety	y Scale
Mood Disorder	Questionnaire (MDQ)	Symptom Che	cklinst-90-Revised (SCL-90-R)
☐ NIDA Modified	ASSIST Drug Use Screening To	ool Alcohol Use Di	isorders ID Test (AUDIT)
Life Experience	es Checklist (LEC)	PTSD Checklis	et (PCL)
Adverse Childh	ood Experiences (ACE)	Traumatic Bra	nin Injury OSU TBI ID
Clinician Admir	nistered PTSD Scale (CAPS-5)	Other:	
noncompliance	participant receive an exp , including criteria for suc elect all that apply)	cessful, neutral, and	unsuccessful specialized docket
Sign Participan	t Agreement	Review/agree	to Participant Handbook
Consult w/ def	ense counsel	☐ Meet with pro	gram staff
Attend Status F	leview Hearing	Other:	
34. Is defense coun	rior to acceptance into the sel present during status	review hearings?	☐ Yes ☐ No
Always	Sometimes	Never	Only if requested
Only when san	ctions are possible	Only when life/lib	erty sanctions are possible
	s made aware that a partice eam pertaining to that partice	•	o defense counsel at the portion of Yes No
36. Is each particip appointed for the		cannot afford private	counsel, defense counsel will be
			e participant's case, public aw?
			ons performed by programs or rvices according to standards of th
39. Does the docke	t work directly with any v	eterans' services agei	ncies? Yes No
	nt and other rehabilitative ket participant? Yes	e services meet the in	dividualized needs of each
	t provide any ancillary ser		rly refer to services for children of

42.	Does the docket allow the use of all medications approved by the FDA for treatment of an opioid use disorder, if prescribed by a qualified provider and administered in conjunction with behavioral health treatment? \square Yes \square No
43.	When using jail/detention as a sanction, does the treatment team consult with the participant's medical treatment provider in advance to ensure continuity of effective medical care within institutional formularies and other limitations? \square Yes \square No \square N/A (no jail sanctions)
44.	When sanctions that impact liberty interests are contemplated, does the participant receive notice, a hearing and representation by an attorney? Yes No N/A (no jail sanctions)
45.	What is the average number of months it takes for participants to complete the docket?
	6 months 9 months 12 months 18 months 24 months Other Other
46.	How many phases are in the docket?
47.	If participants are required to attend self-help or sober support meetings, is there a secular/non-secular alternative? Yes No N/A (no blanket group requirement)
48.	How often do participants attend status review hearings in each phase of the docket?
	Initial Phase:
	Intermediate phases:
	Final phase:
49.	Are participants required to pay any fees to participate in the docket?
	If yes, do they vary according to ability to pay?
	Please list the required fees, if any.
50.	Does the participant receive detailed written information regarding indigency and costs, fees, or fines required to participate in the docket? $\ \square$ Yes $\ \square$ No
51.	Identify the members of the treatment team: (Select all that apply)
	☐ Judge ☐ Magistrate ☐ Prosecutor ☐ Defense Counsel
	☐ Treatment Provider ☐ Treatment Coord. ☐ Case Manager ☐ Probation/Parole
	☐ Docket Coord. ☐ Law Enforcement ☐ Child Welfare ☐ GAL/CASA
	☐ Vet's Justice Outreach (VJO) ☐ Veterans Affairs (VA) ☐ Peer Supporters
	Housing Representative Other
52.	What is the current schedule for the treatment team meetings? Day: Time:
53.	Does the specialized docket judge (or magistrate for juvenile dockets) attend and chair the treatment team meetings? \square Yes \square No
54.	Do treatment team members understand they are expected to serve for a minimum of one year? $\hfill \square$ Yes $\hfill \square$ No
55.	What is the current schedule for the status review hearings? Day:Time:

56.	compliance with court requirements?	Yes [No	ossible to	monitor	
	For Family Dependency Treatment Courts, who provi	ides reporting	supervision	?		
	☐ Docket Coordinator ☐ Case mana	ger	Chi	ld welfare ca	se worker	
	□ N/A (not a FDTC) □ Other					
57.	Does the Specialized Docket Judge speak dire court appearances for 3-7minutes per All Ris			t individua □Yes □		eir metimes
	*For guidance on judicial interactions, see the <u>All Rise</u>	e Judicial Benc	h Card.			
58.	How many participants typically appear at a	single court	session?			
	□ 1-2 □ 3-5 □	6-10		10 or more		
59.	Regarding incentives and sanctions in the do	cket, evaluat	te the follo	wing (resp	ond Yes/No):	:
	Participants receive intangible incentives (applause,	praise from jud	dge/team)	Yes	☐ No	
	Participants receive tangible incentives (candy, movie	e tickets, gift c	ards)	☐ Yes	□ No	
	Treatment team receives written guidelines for response	onses to behav	ior	☐ Yes	□ No	
	Participants receive a list of behaviors that lead to sa	nctions or ince	entives	☐ Yes	□ No	
	Sanctions are graduated (more severe for more frequ	ent/serious in	fractions)	☐ Yes	□ No	
	Sanctions are discussed during the treatment team m	eeting		☐ Yes	□ No	
	Therapeutic adjustments are distinct from sanctions			☐ Yes	□ No	
	Someone other than the judge delivers sanctions outs	side the courtr	oom	☐ Yes	□ No	
	The judge issues sanctions at the soonest possible sta	itus review hea	aring	☐ Yes	□ No	
	Incentives are individualized for each participant			☐ Yes	□ No	
	Sanctions are individualized for each participant			☐ Yes	□ No	
	Incentives vary based on proximal vs. distal goals			☐ Yes	□ No	
	Sanctions vary based on proximal vs. distal goals			☐ Yes	□ No	
60.	Is jail or detention a possible sanction for par	ticipants?	☐ Ye	s No)	
	If yes, does the docket use jail as a sanction in the foll	owing instance	es?			
	For a positive drug screen in the first phase	Always	Usually	Rarely	Never	
	For continued use after the first phase	Always	Usually	Rarely	Never	
	For a positive drug screen in the first phase	Always	Usually	Rarely	Never	
	For a positive screen as a result of a relapse	Always	Usually	Rarely	Never	
	For noncompliance with program rules	Always	Usually	Rarely	Never	
	For failure to appear for a status review hearing	Always	Usually	Rarely	Never	
	For failure to appear for treatment	Always	Usually	Rarely	Never	
	For any other reason:					
61.	Jail/detention stays are generally: Less	than 6 days	☐ More th	an 6 days	□ N/A	
62.	Is jail/detention ever used as an alternative f residential treatment is not available?	or detox or i		treatment	when detox	or

63.	Wh	ich of the following response	s has the dock	et used? (Checl	k all	that appl	y):	
		Jail/detention	☐ Day Jail			Writing ass	ignments/essay	7S
		Court observation (sit sessions)	☐ Community	service		Change in le	evel of care	
		Residential treatment	☐ Increased su	bstance testing		ncreased h	earing frequenc	су
		Increased probation reporting	☐ Increased tre	eatment sessions		Return to ea	arlier phase	
		Self-help groups, books, videos	Group meeti	ngs		Other		
64.	Reg	garding Substance Use Monito	oring, evaluate	the following ([res _]	pond Yes,	/No):	
	The	re is an equal chance that a partici	pant could be tes	ted each weekda	y		es 🗌 No	
	Part	cicipants may be tested on weeken	ıds				es 🗌 No	
	Part	cicipants cannot predict when they	will be tested				es 🗌 No	
	Test	ting occurs on a regular schedule					es 🗌 No	
	Subs	stance use monitoring screens are	fully observed				es 🗌 No	
	Sam	ples are tested for dilution				☐ Ye	es 🗌 No	
	Sam	ples are tested for adulteration					es 🗌 No	
	Test	ting includes primary substance of	f use and other co	mmon substance	S		es 🗌 No	
	The	docket receives immediate notific	cation of all positi	ve tests			es 🗌 No	
65.	Wh	at is the minimum frequency	of substance u	ıse monitoring	dur	ing phase	e 1?	
66.	Doe	es the docket use the followin	ng types of subs	stance use mon	itor	ing tests?	' (Select all th	at apply)
	□ U	Jrine: In-house dipsticks for instar	nt tests	Urine: Sent o	ut to	lab to conf	firm positive tes	its
		Jrine: Collected and tested by In-h	ouse lab	Urine: Sent o	ut to	lab for test	ting	
	□ E	EtG Patch [Hair	Breath		Blood	Oral swab	
		Sleep monitor SCRAM [Other					
67.	Are	the following treated as pos	itive tests?					
	Test	ting positive		Yes		No		
	Failu	ure to submit for testing		Yes		No		
	Subi	mitting an adulterated sample		Yes		No		
	Subi	mitting a sample of another indivi	dual	Yes		No		
	Subi	mitting a diluted sample		Yes		No		
68.	Wh	ere is the drug screening not	ification proce	ss and particip	ant	requirem	ents stated?	
	☐ P	Participant Handbook Par	rticipation Agreer	nent Neit	her			
69.	Wh	ere does the written criteria	for successful	completion of t	the o	locket ap	pear?	
	□ P	Participant Handbook Par	rticipation Agreer	nent Neit	her			

	identity an requirem	ents for successful co	mpletion of the docket	: (Answer Yes	s/No to eacnj	
	Have a job, enroll in scho	ool, or be involved in som	e other qualifying activity	Yes	□ No	
	Live in a sober housing e	nvironment		☐ Yes	□ No	
	Complete community ser	rvice		Yes	□ No	
	Create a sobriety/relaps	e prevention plan		Yes	☐ No	
	Pay all drug court fees			Yes	□ No	
	Pay all treatment fees			Yes	□ No	
	Pay all court costs and fin	nes		Yes	□ No	
	Minimum amount of abs	tinence		Yes	□ No	
	Other					
71.	Where does the writt	ten criteria for unsuc	cessful completion of tl	he docket app	ear?	
	Participant Handbook		-			
=0	-			.1		
/ Z.	Participant Handbook		al termination of the do agreement Neither	cket appear?		
	ranticipant nanuboor		agreement Neither			
73.			terminate, a hearing a	-	ation by an	
	attorney prior to teri	mination from the do	cket?	□ No		
74.			l docket advisory com			
		racalyad fraining ar <i>a</i>	Milcation specifically o		ent court model	
	team members have other than on-the-job	_		ni the treatin	chi court mouci,	
		training: (Select all t		Defense Co		
	other than on-the-job	o training: (Select all t	that apply)	_	unsel	
	other than on-the-job ☐ Judge	training: (Select all t	that apply)	Defense Co	unsel	
	other than on-the-job ☐ Judge ☐ Treatment Provider	Treatment Coord. Law Enforcement	that apply) Prosecutor Case Manager	☐ Defense Co ☐ Probation/ ☐ GAL/CASA	unsel Parole	
	other than on-the-job ☐ Judge ☐ Treatment Provider ☐ Docket Coord.	Treatment Coord. Law Enforcement (VJO)	that apply) Prosecutor Case Manager Child Welfare	☐ Defense Co ☐ Probation/ ☐ GAL/CASA ☐ Peer Suppo	unsel Parole orters	
	other than on-the-job ☐ Judge ☐ Treatment Provider ☐ Docket Coord. ☐ Vet's Justice Outreach	Treatment Coord. Law Enforcement (VJO)	that apply) Prosecutor Case Manager Child Welfare Veterans Affairs (VA)	☐ Defense Co ☐ Probation/ ☐ GAL/CASA ☐ Peer Suppo	unsel Parole orters	
75.	other than on-the-job ☐ Judge ☐ Treatment Provider ☐ Docket Coord. ☐ Vet's Justice Outreach ☐ Community-based Set ☐ Faith Community	Treatment Coord. Law Enforcement (VJO) rvice Providers Other	that apply) Prosecutor Case Manager Child Welfare Veterans Affairs (VA) Funding Authorities	Defense Co Probation/ GAL/CASA Peer Suppo Housing Au	unsel Parole orters uthority	
75.	other than on-the-job ☐ Judge ☐ Treatment Provider ☐ Docket Coord. ☐ Vet's Justice Outreach ☐ Community-based Set ☐ Faith Community	Treatment Coord. Law Enforcement (VJO) rvice Providers Other mmittee established a	that apply) Prosecutor Case Manager Child Welfare Veterans Affairs (VA)	Defense Co Probation/ GAL/CASA Peer Suppo Housing Au	unsel Parole orters uthority	
	other than on-the-job Judge Treatment Provider Docket Coord. Vet's Justice Outreach Community-based Set Faith Community Has the Advisory Con	Treatment Coord. Law Enforcement (VJO) rvice Providers Other mmittee established a ersonnel?	that apply) Prosecutor Case Manager Child Welfare Veterans Affairs (VA) Funding Authorities nd adopted a viable co Yes No	Defense Co Probation/ GAL/CASA Peer Suppo Housing Au	unsel Parole orters uthority	
	other than on-the-job Judge Treatment Provider Docket Coord. Vet's Justice Outreach Community-based Ser Faith Community Has the Advisory Conspecialized docket per	Magistrate Magistrate Treatment Coord. Law Enforcement (VJO) rvice Providers Other mmittee established a ersonnel?	that apply) Prosecutor Case Manager Child Welfare Veterans Affairs (VA) Funding Authorities nd adopted a viable co Yes No in the following:	Defense Co Probation/ GAL/CASA Peer Suppo Housing Au	unsel Parole orters uthority	
	other than on-the-job Judge Treatment Provider Docket Coord. Vet's Justice Outreach Community-based Set Faith Community Has the Advisory Conspecialized docket per Attend official treatment	Magistrate Magistrate Treatment Coord. Law Enforcement (VJO) rvice Providers Other mmittee established a ersonnel?	that apply) Prosecutor Case Manager Child Welfare Veterans Affairs (VA) Funding Authorities nd adopted a viable co Yes No in the following: or workshops	Defense Co Probation/ GAL/CASA Peer Suppo Housing Au	unsel Parole orters othority cation plan for	
	other than on-the-job Judge Treatment Provider Docket Coord. Vet's Justice Outreach Community-based Set Faith Community Has the Advisory Conspecialized docket per Attend official treatment	Magistrate Magistrate Treatment Coord. Law Enforcement (VJO) rvice Providers Other mmittee established a ersonnel? Cocket Judge engaged court training sessions of ther treatment court judge	that apply) Prosecutor Case Manager Child Welfare Veterans Affairs (VA) Funding Authorities nd adopted a viable co Yes No in the following: or workshops	Defense Co Probation/ GAL/CASA Peer Suppo Housing Au ntinuing educ	unsel Parole orters othority cation plan for	
	other than on-the-job Judge Treatment Provider Docket Coord. Vet's Justice Outreach Community-based Ser Faith Community Has the Advisory Conspecialized docket per Has the Specialized D Attend official treatment Received training from or Observed other specialized	Magistrate Magistrate Treatment Coord. Law Enforcement (VJO) rvice Providers Other mmittee established a ersonnel? Cocket Judge engaged court training sessions of ther treatment court judge ed dockets et conferences such as the	that apply) Prosecutor Case Manager Child Welfare Veterans Affairs (VA) Funding Authorities dadopted a viable co Yes No in the following: or workshops ges	Defense Co Probation/ GAL/CASA Peer Suppo Housing Au ntinuing educe	unsel Parole orters uthority cation plan for No No	

Please list the education that satisfies the six hour education requirement per certification period. Education may include formal and informal self-study activities and attendance at roundtables.

г	Detail education activities, including topic and delivery method	Hours
	Does the docket regularly collect data and evaluate whether it is meet completion requirements under Standard 2 as well as its stated goals by Standard 12?	•
78.	When did the Advisory Committee last review the data collected by the Month/Year:	e docket?
	Has the docket made any adjustment in policy or practice based on dareview?	ta or Advisory Committee's
	When was the last time the docket submitted monthly statistics to the tion?Month/Year:	Specialized Docket
81.	Does the data include information from the treatment providers? \Box Y	es No
01.		
submitted certification	me Court of Ohio Specialized Dockets Section will grant certification when the write by each court and observations made during the site review demonstrate complian standards contained in Sup.R. Appx. I. Topics that are not expressly set forth in tare not evaluated.	nce with the
I hereby c	ertify that the information set forth above is true and accurate to the best of my knoon.	owledge and
Jud	ge's Signature Date:	
Ind	ge's Printed Name	
juu	Re 2 Littlen Maille	