Addiction Treatment Program (ATP) and Specialized Dockets Subsidy Program

October 12, 2018

Welcome

Presenters

The Ohio Department of Mental Health and Addiction Services
• Chris Nicastro, Chief, Bureau of Criminal Justice
• Kathy Yokum, Addiction Treatment Program
• Joani Moore, Specialized Dockets Program

The Ohio Department of Medicaid
• Kara Miller, Chief of Care Management and QI Section
• Legislatively established in Section 337.70 of the Am. Sub. H.B. No. 49.
• OhioMHAS shall conduct a program in select counties providing addiction treatment to individuals who are eligible to participate in MAT drug court because of their dependence on opioids, alcohol, or both.
• Expectation that treatment provider & court personnel be able to serve more people due to the ability to get more people enrolled in Medicaid or commercial insurance in a timely manner.
• Provide addiction treatment and therapy, including Medication-assisted treatment (MAT).
• Provide other types of therapies and supports for co-occurring disorders.
• Provide access to time-limited recovery supports that help eliminate barriers to treatment and are specific to the participant’s needs.

Participating Counties

• The ATP served a total of 31 counties throughout Ohio in SFY 2018:

<table>
<thead>
<tr>
<th>SFY 2016 ATP Counties</th>
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<tbody>
<tr>
<td>Allen</td>
<td>Hardin</td>
<td>Montgomery</td>
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<tr>
<td>Clinton</td>
<td>Hocking</td>
<td>Summit</td>
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<td>Cuyahoga</td>
<td>Jackson</td>
<td>Warren</td>
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<td>Franklin</td>
<td>Marion</td>
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<td>Gallia</td>
<td>Mercer</td>
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<thead>
<tr>
<th>SFY 2017 ATP Counties</th>
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<tr>
<td>Butler</td>
<td>Lake</td>
<td>Mahoning</td>
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<tr>
<td>Clermont</td>
<td>Lorain</td>
<td>Stark</td>
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<td>Hamilton</td>
<td>Lucas</td>
<td>Trumbull</td>
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<tr>
<th>SFY 2018 Expansion ATP Counties</th>
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<tr>
<td>Columbiana</td>
<td>Muskingum</td>
<td>Ross</td>
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<tr>
<td>Coshocton</td>
<td>Ottawa</td>
<td>Tuscarawas</td>
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<tr>
<td>Medina</td>
<td>Richland</td>
<td>Union</td>
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Participating Counties

- Statewide Expansion: ATP will now serve 54 Counties

<table>
<thead>
<tr>
<th>End of SFY 2018 Expansion ATP Counties</th>
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<tbody>
<tr>
<td>Ashtabula</td>
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<tr>
<td>Delaware</td>
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<tr>
<td>Fairfield</td>
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<tr>
<td>Fulton</td>
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<td>Greene</td>
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<td>Hancock</td>
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Eligibility

- Client Eligibility Criteria:
  1. Client must be actively engaged in a Supreme Court of Ohio certified drug or family dependency court.
     - Court must offer MAT and be one of the specialized dockets selected to participate in the ATP,
  2. Client must have an opioid and/or alcohol use disorder.
  3. Client must be deemed eligible for Medication-assisted treatment (MAT).
     - Client may opt not to utilize MAT and still participate.
     - MAT may include FDA approved full agonist (Methadone), partial agonist (Suboxone), or long-acting antagonist (Vivitrol) medications.
ATP Funding

- OhioMHAS was awarded $16 Million for the ATP for SFY 2018 and SFY 2019.
- Funds are distributed as an allocation to the ADAMHS Boards through GFMS.
- The ADAMHS Boards pass these funds to their selected ATP providers.
- Funds may be used for treatment and recovery supports for ATP clients, as clinically appropriate.
- Funds may be utilized based on client need, there is no limit per client.
- Treatment providers may contract with outside agencies in order to fund needed client services that they do not offer.

Recovery Supports

- ORC 5119.01: "Recovery supports" means assistance that is intended to help an individual who is an alcoholic or has a drug addiction or mental illness, or a member of such an individual's family, initiate and sustain the individual's recovery from alcoholism, drug addiction, or mental illness.
- Treatment providers should provide access to time-limited recovery supports that help eliminate barriers to treatment and are specific to the participants need.
- ATP Recovery Supports and Treatment Services Guide is located on our website www.mha.ohio.gov (Select the “Treatment” tab, then Select “Criminal Justice Involvement” and “Addiction Treatment Program”)
## Recovery Supports

<table>
<thead>
<tr>
<th>Housing</th>
<th>Employment</th>
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<tbody>
<tr>
<td>Recovery Housing</td>
<td>Job Training/Educational Services</td>
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<tr>
<td>Room &amp; Board for Residential Treatment</td>
<td>Interview &amp; Job</td>
</tr>
<tr>
<td>Rent Deposits</td>
<td>Uniform/Attire</td>
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<tr>
<td>Short term Housing</td>
<td>GED Test</td>
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<tr>
<td>Utilities</td>
<td>Vocational Certifications</td>
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<td></td>
<td>License Reinstatement Fees</td>
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<tr>
<th>Transportation</th>
<th>Non-Vocational Education</th>
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<tr>
<td>Bus Passes</td>
<td>Parenting Classes</td>
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<tr>
<td>Gas Cards</td>
<td>Life Skills</td>
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<tr>
<td>Cab/Uber Fare</td>
<td>Self-Care</td>
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<tr>
<th>Childcare During Treatment or Support Groups</th>
<th>Peer Support Activities</th>
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<tr>
<th>Relapse Prevention/Recovery Check Ups</th>
<th>Support Groups</th>
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<tr>
<th>Identification</th>
<th>Emergency Basic Need Items</th>
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<tbody>
<tr>
<td>Driver’s License</td>
<td>Food Vouchers/Pre-Paid Store Card</td>
</tr>
<tr>
<td>Social Security Card</td>
<td>Clothing Vouchers</td>
</tr>
<tr>
<td>Birth Certificate</td>
<td>Hygiene Products &amp; Cleaning Supplies</td>
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## Administrative Funds

- OhioMHAS provides administrative funds to participating ATP dockets.
- Administrative funds account for 5% of the total FY18 funds ($400,000) and 10% of the FY19 funds ($800,000).
- Funds are allocated based upon program participation rates in each court.
- The court administrative funding will come out of each County ADAMH Board’s allocation, which is then passed to the participating dockets.
- Funds are intended to assist with administrative expenses of courts participating in the program and are to be used at their discretion.
ATP Process

Specialized Dockets

- ATP eligible client is identified in the MAT Certified Drug Court
- Court staff have client sign ROI include: OhioMHAS, ODM, & Treatment Provider
- Court staff will look up the client in MITS to confirm Medicaid eligibility, determine which MCP provides their coverage, and inform the MCP of their client’s participation in the ATP
- Court staff refer client for assessment and inform Treatment provider of ATP eligibility

ATP Treatment Providers

- Provider receives referral and insurance status of ATP Client from Court
- Assist client in applying for Medicaid
- No prior authorization needed for MAT
- ATP provider identifies treatment and recovery support needs of client
- Provider uses ATP funds to cover costs of services, as clinically appropriate
- ATP funds may cover co-pays for treatment

Certified Drug Court Responsibilities

- Select clients to participate in the ATP that meet the legal and clinical eligibility criteria for the ATP.
- All prospective drug court program participants must sign a consent form authorizing the release of information (ROI).
- Identify in MITS the MCP for the participant.
- Notify the MCP of the participant’s enrollment in the ATP.
- Refer to an OhioMHAS Certified Treatment Provider who is a part of their MCP.
- Deliver to the Certified Provider a ROI, screening, and other appropriate information when making the referral for assessment.
- Provide the treatment provider with updates that may impact client treatment.
- Collect and report data consistent with the Treatment Research Institute’s guidance for the evaluation (for those Counties participating in the Evaluation).
**Treatment Provider Responsibilities**

- Agency is Certified to provide SUD services by OhioMHAS
- Provide treatment using an integrated service delivery model
- Conduct comprehensive diagnostic assessments
- Determine treatment needs of the participants
- Develop individualized goals and objectives
- Provide access to medications
- Provide other therapies as clinically indicated
- Provide access to time-limited recovery supports
- Monitor compliance (i.e. urinalysis & court compliance documents)
- Assist applicants with applying for Medicaid
- Collect and report data consistent with the Treatment Research Institutes’ (TRI) guidance for the evaluation component of the program.

**ADAMHS Board Responsibilities**

- Review Allocation Page in GFMS.
- Pass funds to treatment providers to cover recovery support and treatment costs for ATP clients.
- Pass funds to specialized dockets for administrative costs based upon the amount identified by OhioMHAS.
- Complete the MOU and update as needed.
- Communicate any changes in Providers and Contact information to OhioMHAS.
- Complete and submit ATP quarterly reports (Q4 includes unduplicated client count).
**Evaluation**

- FY18/19 legislative language requires an evaluation of the ATP. The Treatment Research Institute (TRI) was selected to complete the evaluation.
- Participating treatment providers and specialized docket staff* are responsible for entering weekly data related to client compliance and outcomes, attendance, treatment services, court rewards/sanctions, and other services provided.
- All staff are trained on the data entry process.

*The new 23 expansion Counties listed on slide 5 are NOT participating in the current evaluation.*

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**ATP County Survey**

127 Total Responses

June 6, 2018
Q1: Which entity do you represent?

- ADAMHS Board: 17.32% (22 responses)
- Drug or Family Dependency Court: 46.03% (61 responses)
- Behavioral Health Provider: 34.63% (44 responses)

Q3: Which behavioral health services have been provided utilizing ATP funds? (Choose all that apply)

- Medication Assisted Treatment: 74.65% (94 responses)
- Assessment: 60.32% (76 responses)
- Counseling and Therapy: 66.97% (84 responses)
- Medical activities: 26.57% (36 responses)
- Residential, withdrawal management, and inpatient substance abuse disorder services: 36.91% (46 responses)
- Crisis Intervention: 21.43% (27 responses)
- Umphasis: 55.96% (70 responses)
- SUD case management services: 36.35% (46 responses)
- Peer recovery services: 30.18% (38 responses)
- CPST: 22.22% (28 responses)
- Other (please specify): Residential, Medications, IOP: 20.83% (26 responses)

Total Respondents: 126
Q4: Which recovery supports have been provided utilizing ATP funds?

(CHOSE ALL THAT APPLY)

Some of the “other” responses included:

- Food
- Clothing
- Certification Exam fees for career/work readiness
- Job uniforms and steel-toed boots
- Utilities
- Rent and security deposits
- License Re-Instatement Fees
- Birth Certificate
- Gas cards
- Bus passes
- Parenting Classes
- Peer Support Services
Q5: What obstacle have kept you from getting the needed services to ATP clients?

Summary of Responses:
- Lack of Transportation in our area
- Miscommunication or a lack of understanding of who is eligible for ATP
- Need for more housing
- Clients being referred to providers who are not ATP providers
- Cash flow problems with providers and the time it takes to be reimbursed for services
- Difficulty in determining exactly what ATP funds will pay for
- Lack of child care providers
- Lack of resources in the County
- Need for more Certified Peer Supporters
- It would be easier to pre-purchase items frequently needed such as gas cards, bus passes, etc. These are immediate needs, but have to wait to receive a check prior to purchase.
- No obstacles at this time

Q6: What tools or processes were most useful to you in developing your current ATP?

Summary of Responses:
- Regular meetings with the Board and all agencies involved to ensure continuity of care and efficiency in utilization of funds
- A supportive/knowledgeable Judge
- Communication between the Courts and Providers
- Developing specific protocols to streamline the process.
- The Board has been very responsive in answering questions
- Online Webinar and training provided by OhioMHAS
- Developing checklists for courts and providers to utilize
- Flexibility in funding to allow for individualized needs of clients
- Utilizing peer supporters
- Teamwork with MAT providers and open communication with probation officers
Q7: How can OhioMHAS, your Board, or the Court better assist you with ATP? (CHOOSE ALL THAT APPLY)

Some of the “other” responses included:

- Clarification on how funds can be utilized with a more specific list
- Timely reimbursements and the need for immediate access of funds
- There is a need to expand to other specialized dockets
- Additional funds to provider agencies for administrative duties.
- Training specifically on Recovery Supports to those provider staff who request ATP funds
- ATP funds can pay for housing, but no furnishings. Expand recovery supports to include furniture and appliances.
- Allow ATP funds to pay for incentives and pro social activities such as gym memberships.
- There is a need to expand to other drug problems such as cocaine and methamphetamine
- Continuing TA and training
- Communication and meetings
- Process works well, no assistance needed
Questions & Answers

OhioMHAS ATP Point of Contact:
Kathy Yokum, Criminal Justice Administrator
614-752-8871
kathy.yokum@mha.ohio.gov

- Go to: www.mha.ohio.gov
- Select the “Treatment” in the upper left corner
- Select “Criminal Justice Involvement”
- Select “Addiction Treatment Program”

Specialized Dockets Subsidy Project

- Began July 1, 2014 (SFY 15) as legislated in the Ohio 2014/2015 biennial budget.

- The primary purpose of these funds is to assist courts with their payroll costs for specialized dockets staff members
Specialized Dockets Subsidy Project

- Began July 1, 2014 (SFY 15) with $4.4 million dollars earmarked for this project.

- 118 specialized dockets received funding in SFY 15.

- In SFYs 15 and 16, the courts receiving the funds were required to submit verification from their county auditors which certified the payroll costs of the specialized docket staff member(s).

Specialized Dockets Subsidy Project

- The SFY 16 and 17 biennial budget increased this line item to $5 million per year.

- In order to create funding equity, in SFY 17, the Department created a tiered funding formula using the number of participants served over an 18 month period as reported to the Department. An 18 month period was selected so that newly established specialized dockets would not be adversely affected.
In SFY 17, 136 specialized dockets were funded through this initiative.

In SFY 16, a mid-biennial review revision was made to this line item which allows the courts to use these funds for expenses other than payroll costs. This change became effective in SFY 17.

The additional allowable expenses are defined by the budget bill and the Department. The Department’s staff worked together to create a consistent list of expenses allowable for both ATP and Specialized Dockets Subsidy Project.

Courts receiving Specialized Docket Subsidy funds do not need to receive permission from the Department to use these monies for purposes other than payroll for specialized dockets staff provided they are allowable expenses.

In SFY 18, 138 specialized dockets were funded. Over 95% of these funds were spent on payroll costs.
Questions and Answers

Specialized Dockets Subsidy Project Point of Contact:
Joani Moore, MS
Specialized Dockets and TASC Coordinator
(614) 752-7385
Joani.Moore@mha.ohio.gov

Specialized Dockets Subsidy Project Webpage:
http://mha.ohio.gov/Treatment/Criminal-Justice-Involvement/Specialized-Dockets-Program1

Go to: www.mha.ohio.gov
Select “Treatment” in the upper left corner
Select “Criminal Justice Involvement”
Select “Specialized Dockets”